

Neutrinoless Double-Beta Decay Searches in Germanium

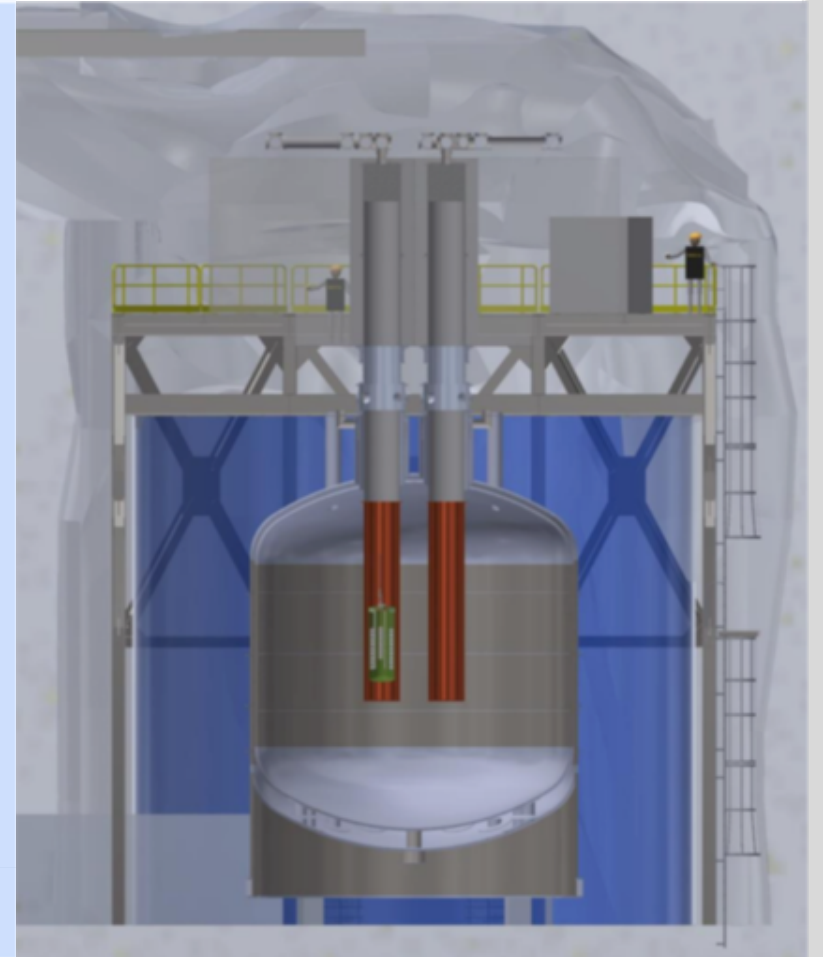
Julieta Gruszko

Neutrino 2022

May 31, 2022

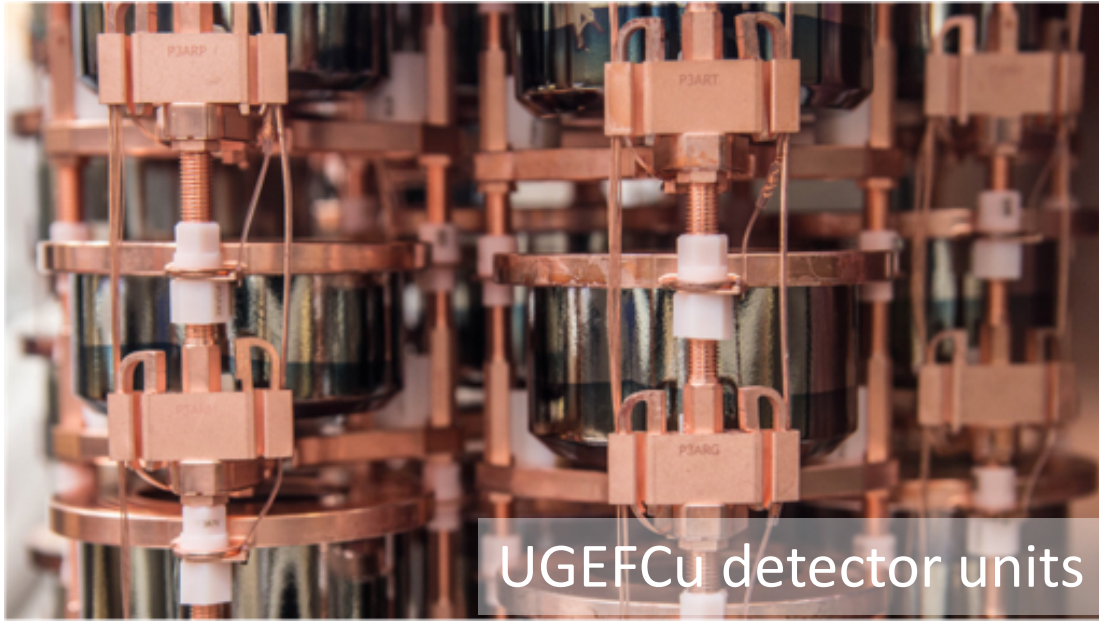


THE UNIVERSITY
of NORTH CAROLINA
at CHAPEL HILL

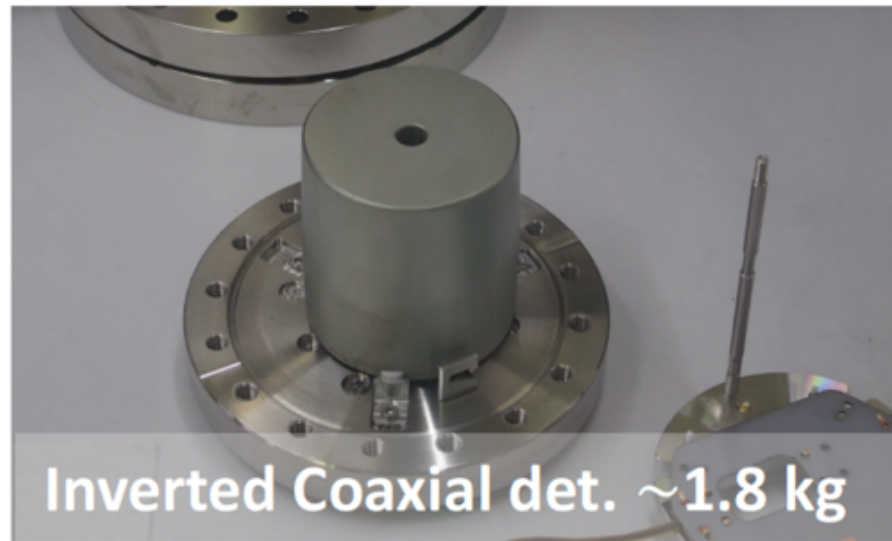
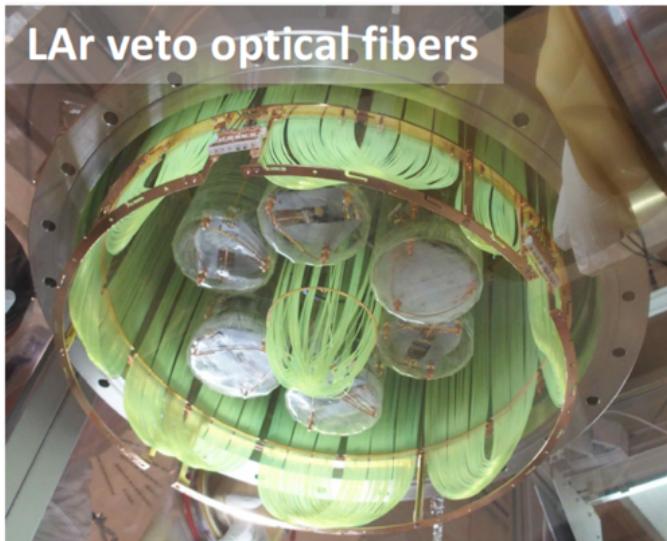


On behalf of the MAJORANA and LEGEND Collaborations,
with thanks to the GERDA Collaboration

Outline

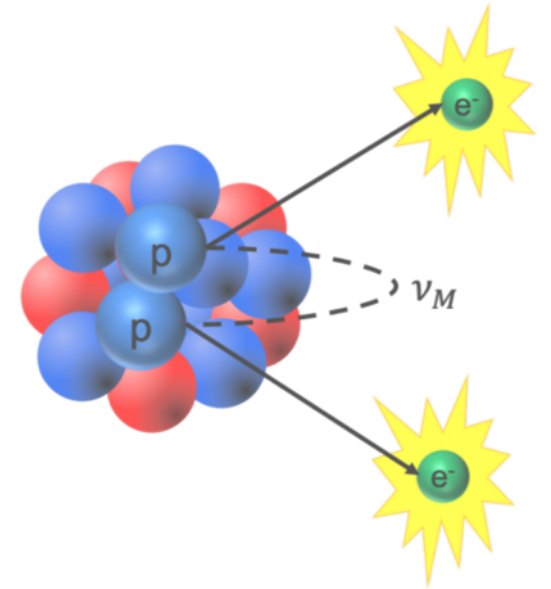


- Double-Beta Decay in ^{76}Ge
- Current-generation experiments
 - New Results from the MAJORANA DEMONSTRATOR
 - Final results from GERDA
- Joining forces: LEGEND
 - Status and progress



Why Neutrinoless Double Beta Decay?

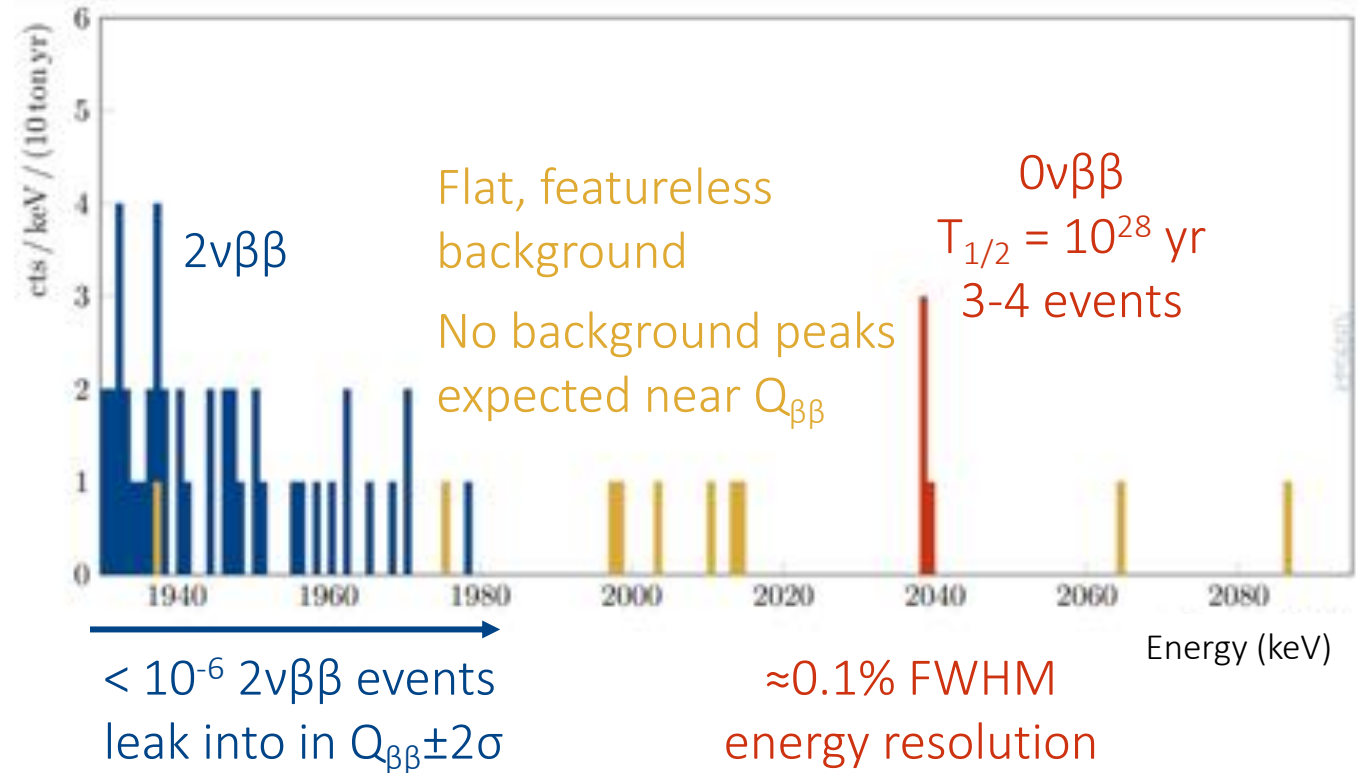
- The discovery of $0\nu\beta\beta$ decay would dramatically revise our foundational understanding of physics and the cosmos
 - Lepton number is not conserved
 - The neutrino is a fundamental Majorana particle
 - There is a potential path for understanding the matter – antimatter asymmetry in the cosmos, through leptogenesis
 - There is a new mechanism demonstrated for the generation of mass
- The search for $0\nu\beta\beta$ decay is one of the most compelling and exciting challenges in all of contemporary physics
- The LEGEND Collaboration aspires to meet this challenge through a ton-scale search for $0\nu\beta\beta$ decay of ^{76}Ge



Designing for Unambiguous Discovery

- What is required for a discovery of $0\nu\beta\beta$ decay?
- Long half-lives mean you need large exposures. For 3-4 counts of $0\nu\beta\beta$ at...
 - 10^{26} years: 100 kg-years
 - 10^{27} years: 1 ton-year
 - 10^{28} years: 10 ton-years
- Need a good signal-to-background ratio to get statistical significance
 - A very low **background event rate**
 - The best possible **energy resolution**

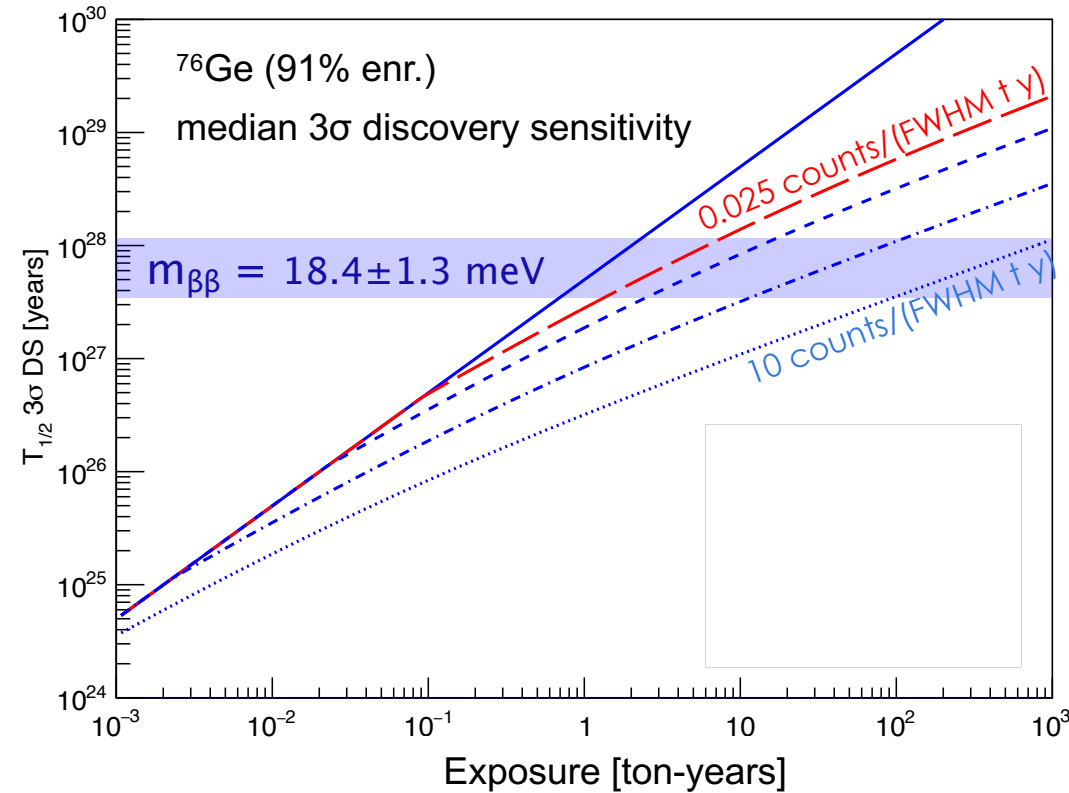
Simulated LEGEND-1000 example spectrum for $T_{1/2} = 10^{28}$ yrs,
 $BI < 10^{-5}$ cts/keV kg yr, after cuts, from 10 years of data



At every stage, $0\nu\beta\beta$ searches in ^{76}Ge are designed for unambiguous discovery: their goal is quasi-background free operation for their full exposure

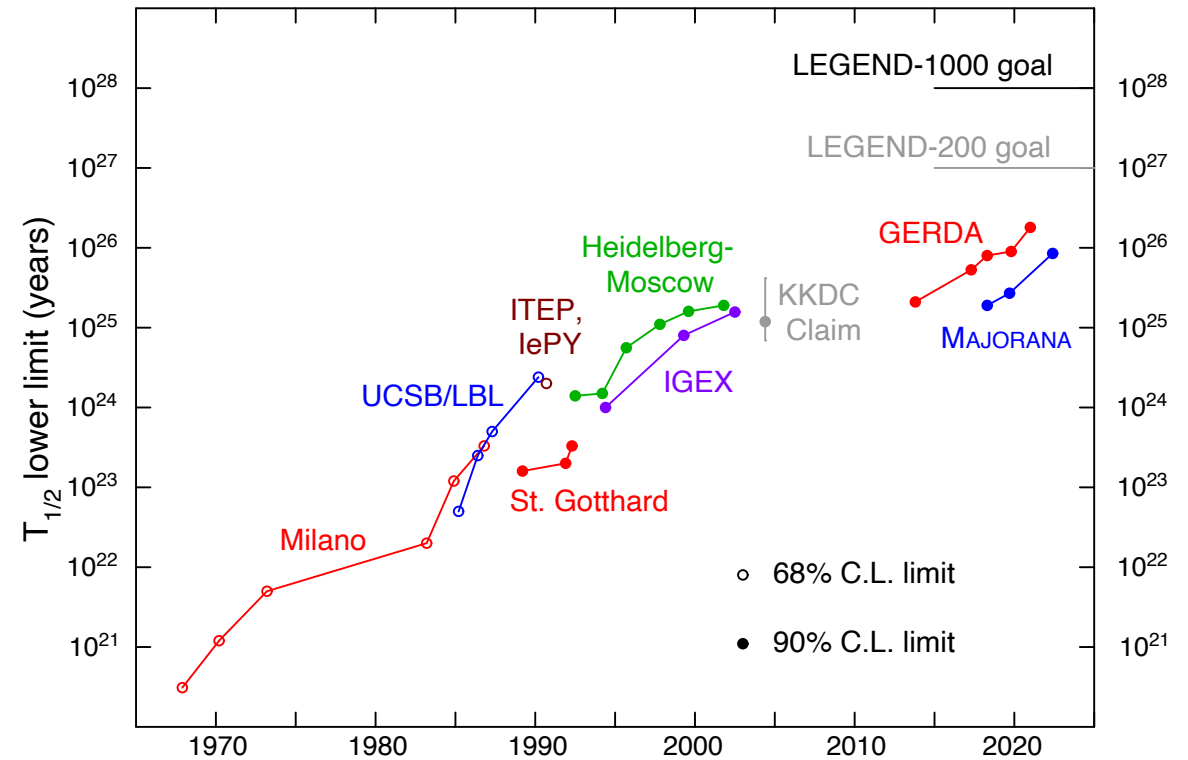
Backgrounds and Discovery

- Background-free: Sensitivity rises linearly with exposure
Background-limited: Sensitivity rises as the square root of exposure
- Our background goal is “quasi-background-free” operation
 - *Less than one background count* expected in a 4σ Region of Interest (ROI) with the full exposure (FWHM: Full Width at Half Maximum; 2.355σ for a Gaussian peak)

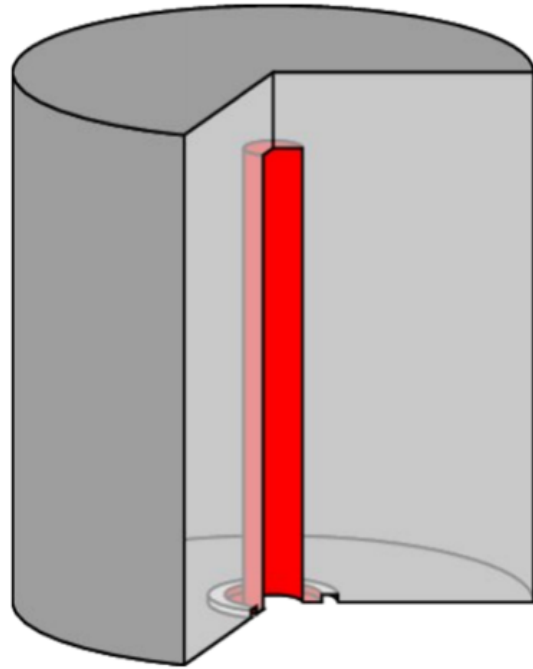


HPGe Detectors for $0\nu\beta\beta$

- Easily available material, enrichment, and detector production
- Highly efficient: $>90\%$ ^{76}Ge use, $\sim 70\%$ signal efficiency after all cuts
- Easy operation: low operating voltage (< 5 kV) and cryogenic requirements (77-90K)
- Many tools to reduce backgrounds
 - Multiplicity, timing, active veto shielding
 - Pulse-shapes used for event topology discrimination
 - Demonstrated lowest (GERDA) and 2nd lowest (MJD) backgrounds
- Solid basis for unambiguous discovery
 - Superb energy resolution: $\sigma / Q_{\beta\beta} = 0.05\%$
 - Therefore, no background peaks anywhere near the energy of interest
 - Background is flat and well understood
 - Background are measured, with no reliance on background modeling
 - All this leads to an excellent likelihood that an observed signal will be convincing

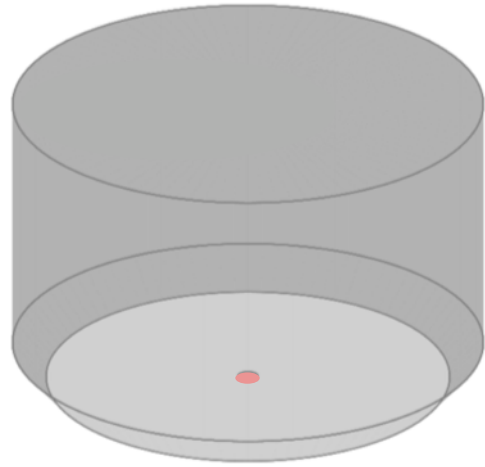
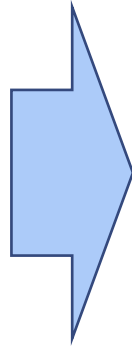


Germanium Detector Innovation



(Semi)-Coaxial

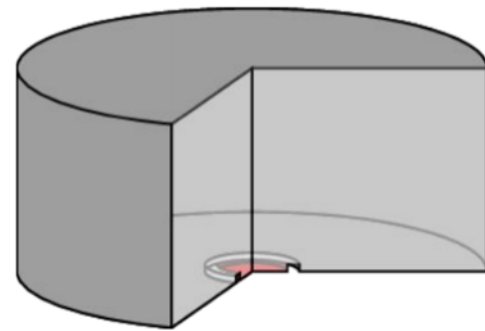
- Large mass (2-3 kg)
- Imperfect background rejection



PPC

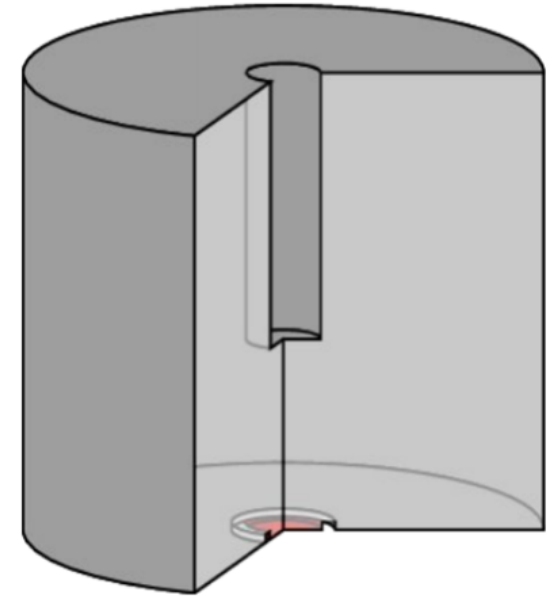
IEEE Trans. on
Nuc. Sci., 36, 1,
926-930 (1989)

- Small mass (< 1 kg)
- Excellent background rejection



BeGe

Eur. Phys. J. C
79, 978 (2019)



Inverted-Coaxial

NIMA ,891, 106-110, (2018)

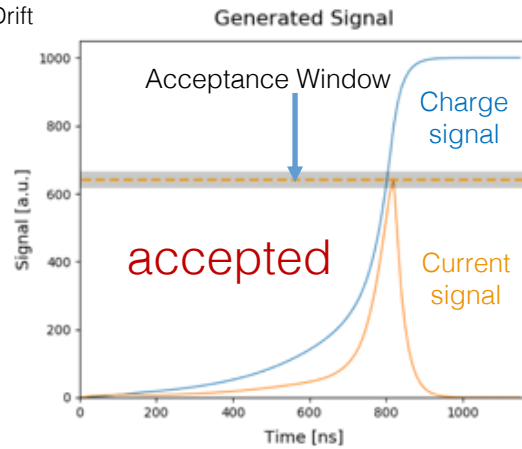
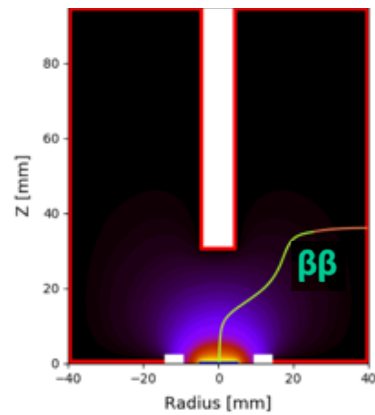
- Newly developed for LEGEND
- Large mass (up to 4 kg)
- Excellent background rejection

Materials from the GERDA and MAJORANA Collaborations

Background Rejection in Point Contact Detectors

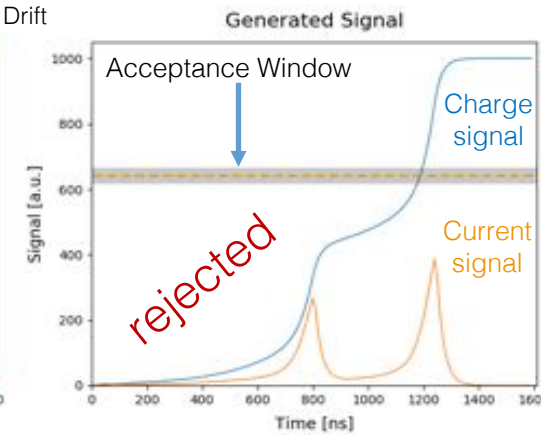
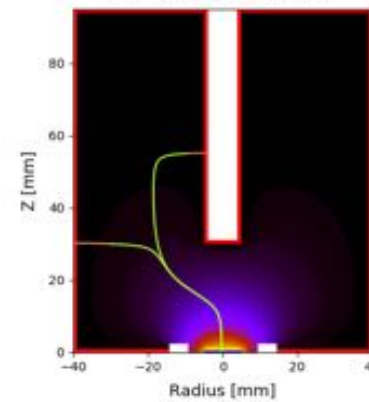
$0\nu\beta\beta$ signal candidate (single-site)

Weighting Potential and Charge Drift



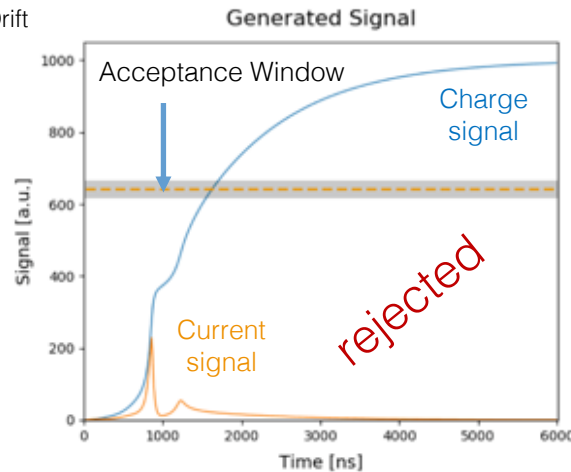
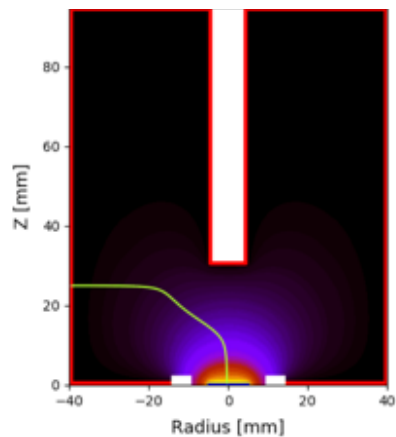
γ -background (multi-site)

Weighting Potential and Charge Drift



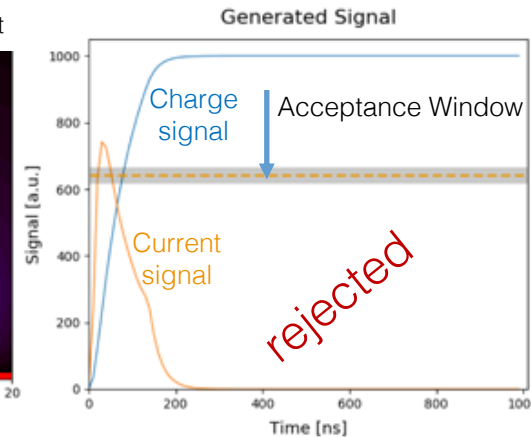
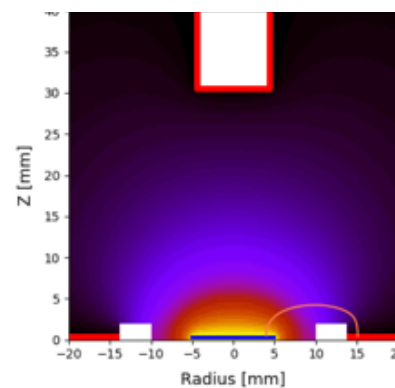
Surface background on n+ contact

Weighting Potential and Charge Drift



Surface background on p+ contact

Weighting Potential and Charge Drift



External α , β , and γ backgrounds all create distinctive pulse shapes, allowing for highly efficient $\beta\beta$ decay event selection

From the Current Generation to the Ton Scale

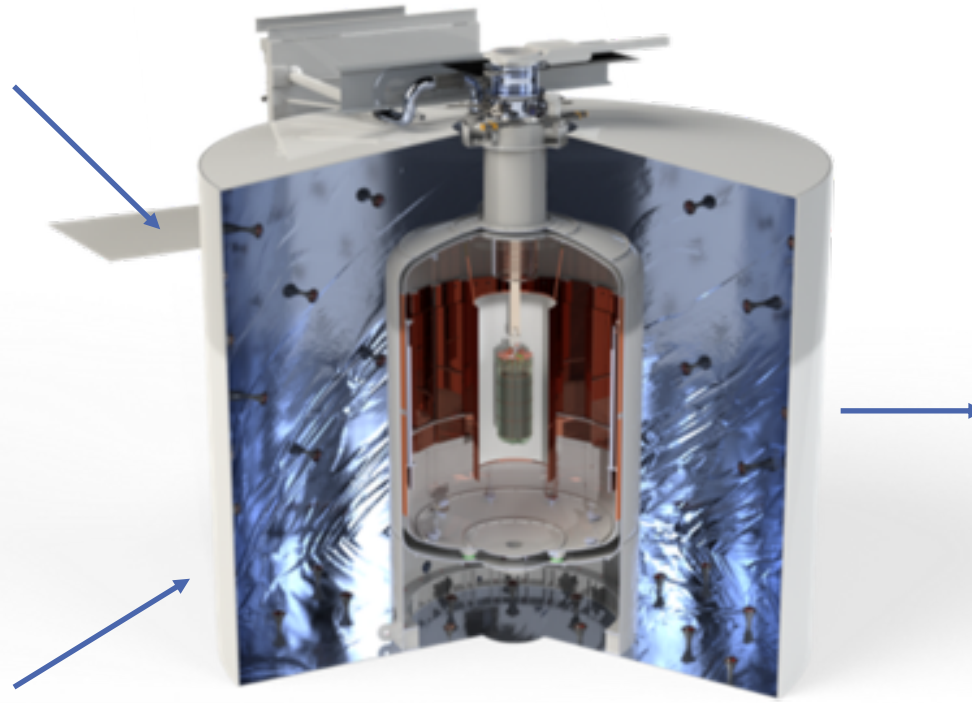


MJD: New final exposure results

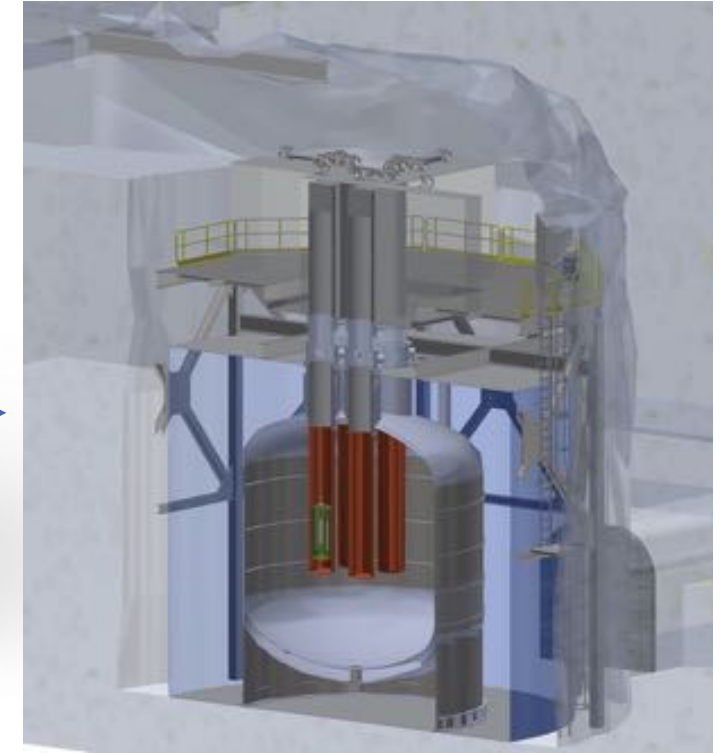


GERDA: Final $0\nu\beta\beta$ results published

PRL 125, 252502 (2020)



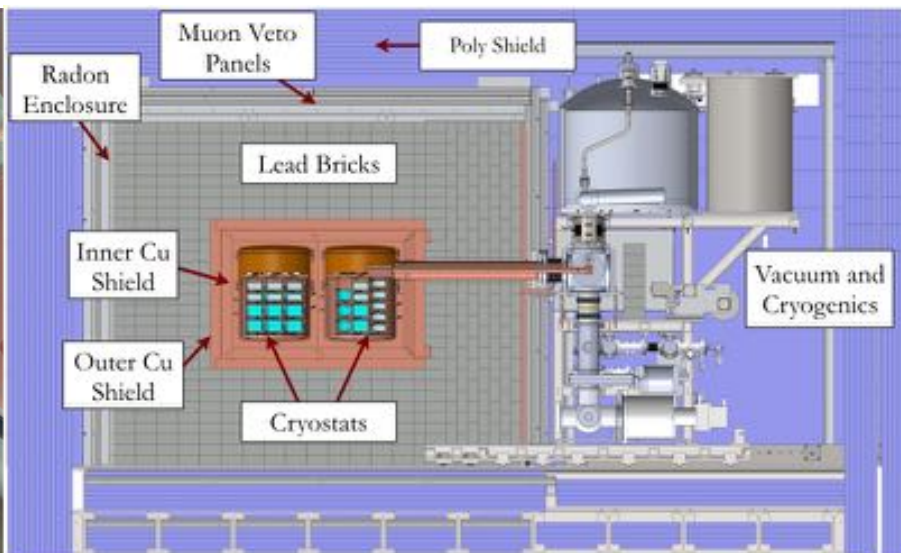
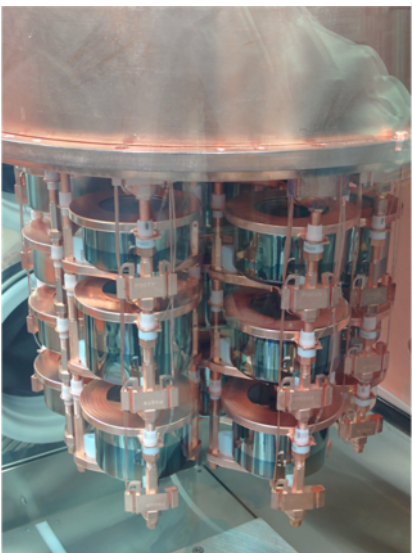
LEGEND-200: Now in commissioning



LEGEND-1000: Conceptual design development continuing

arXiv: 2107.11462

Current-Generation Experiments: The MAJORANA DEMONSTRATOR and GERDA



From the Current Generation to the Ton Scale

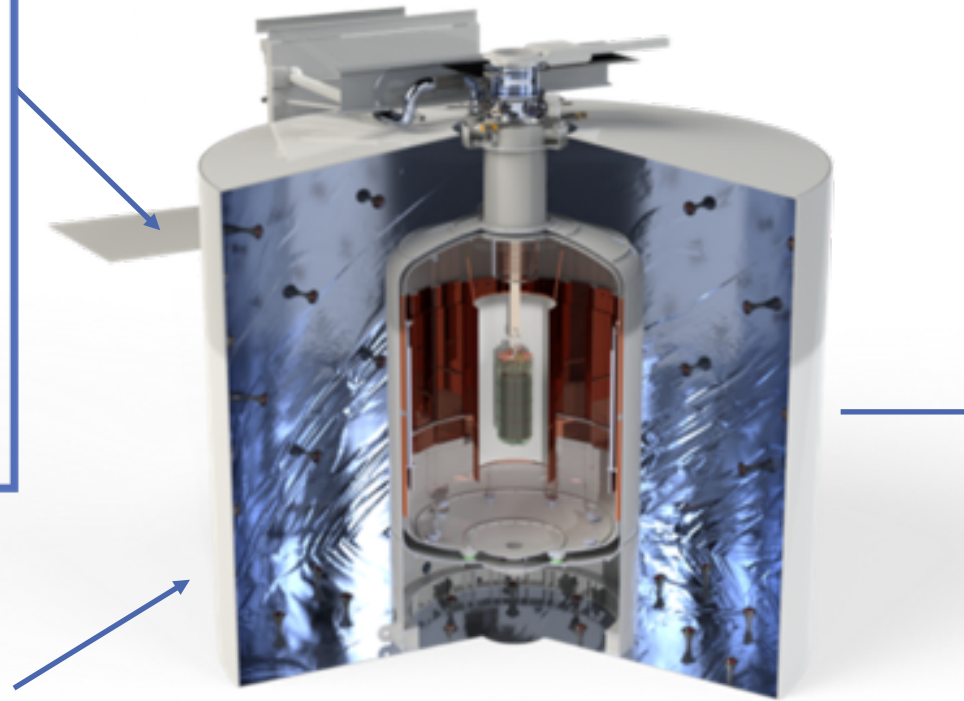


MJD: New final exposure results

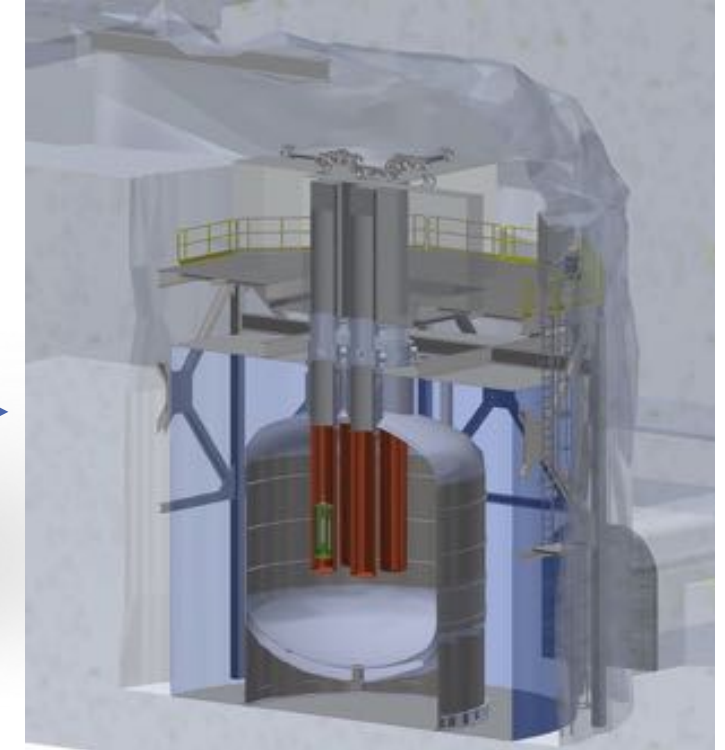


GERDA: Final $0\nu\beta\beta$ results published

PRL 125, 252502 (2020)



LEGEND-200: Now in commissioning



LEGEND-1000: Conceptual design development continuing

arXiv: 2107.11462

The MAJORANA Collaboration



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Los Alamos
NATIONAL LABORATORY



NC STATE
UNIVERSITY

OAK RIDGE
National Laboratory



Pacific Northwest
NATIONAL LABORATORY
Proudly Operated by **Battelle** Since 1965

*students



SOUTH DAKOTA MINES



Technische Universität München



Tennessee
TECH



THE UNIVERSITY
of NORTH CAROLINA
at CHAPEL HILL



UNIVERSITY OF
SOUTH CAROLINA



UNIVERSITY OF
SOUTH DAKOTA

THE UNIVERSITY of
TENNESSEE
KNOXVILLE



Williams



The MAJORANA DEMONSTRATOR



Searching for neutrinoless double-beta decay of ^{76}Ge in HPGe detectors, probing additional physics beyond the standard model, and informing the design of the next-generation LEGEND experiment

Source & Detector: Array of p-type, point contact detectors

29.7 kg of 87% enriched ^{76}Ge crystals

Included 6.7 kg of inverted coaxial, point contact detectors in final run

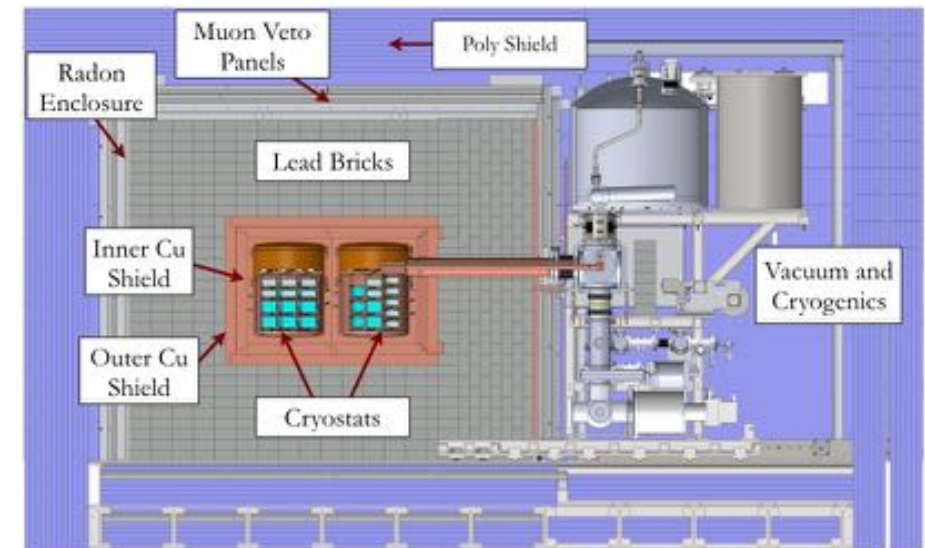
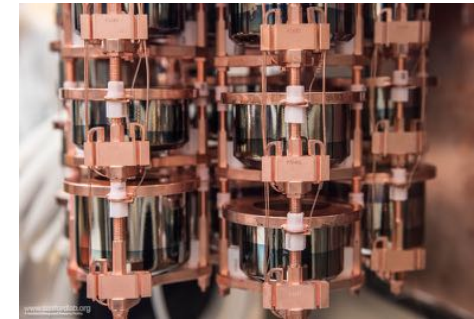
Excellent Energy resolution: 2.5 keV FWHM @ 2039 keV

and Analysis Threshold: 1 keV

Low Background: 2 modules within a compact graded shield and active muon veto using ultra-clean materials

Reached an ultimate exposure of ~ 65 kg-yr before removal of enriched detectors for the LEGEND-200 experiment at LNGS

Continuing to operate at the Sanford Underground Research Facility with natural detectors for background studies and other physics



Background Reduction

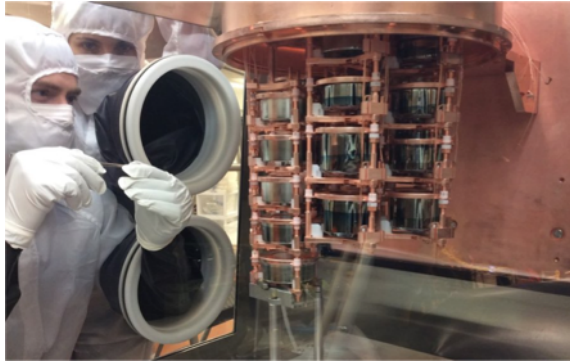
Ultra-pure materials

- Low-mass design NIM A 828 22 (2016)
- Custom cable connectors and front-end boards
- Selected plastics and low-mass Cu coax cables
- Underground electroformed copper

Th decay chain $\leq 0.1 \mu\text{Bq/kg}$

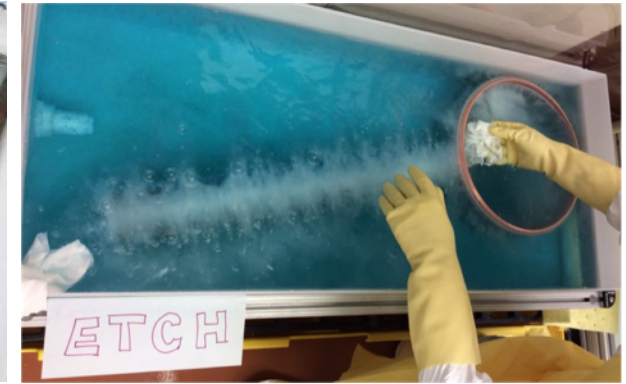
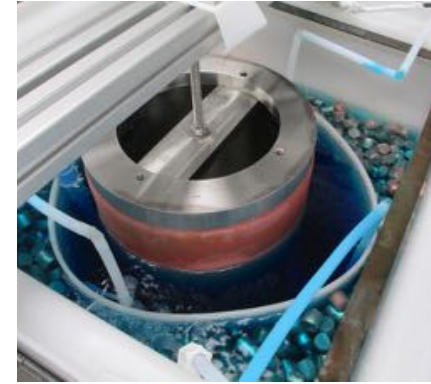
NIM A 775 93 (2015)

U decay chain $\leq 0.1 \mu\text{Bq/kg}$



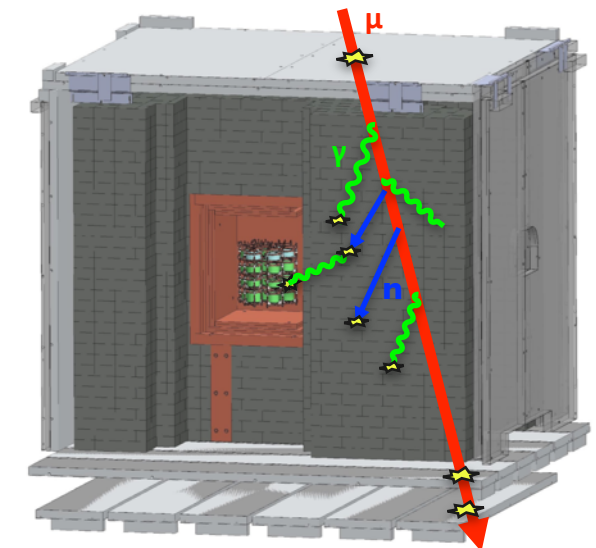
Machining, cleaning, and assembly

- Cu machining in an underground cleanroom
- Cleaning of Cu parts by acid etching and passivation
- Nitric leaching of plastic parts
- Dedicated glove boxes with a purged N_2 environment



Cosmogenic backgrounds

- Limit and track Ge above-ground exposure to prevent cosmic activation. NIM A 877 314 (2018) NIM A 779 52 (2015)
- Veto events coincident with muons Astropart. Phys. 93 70 (2017)

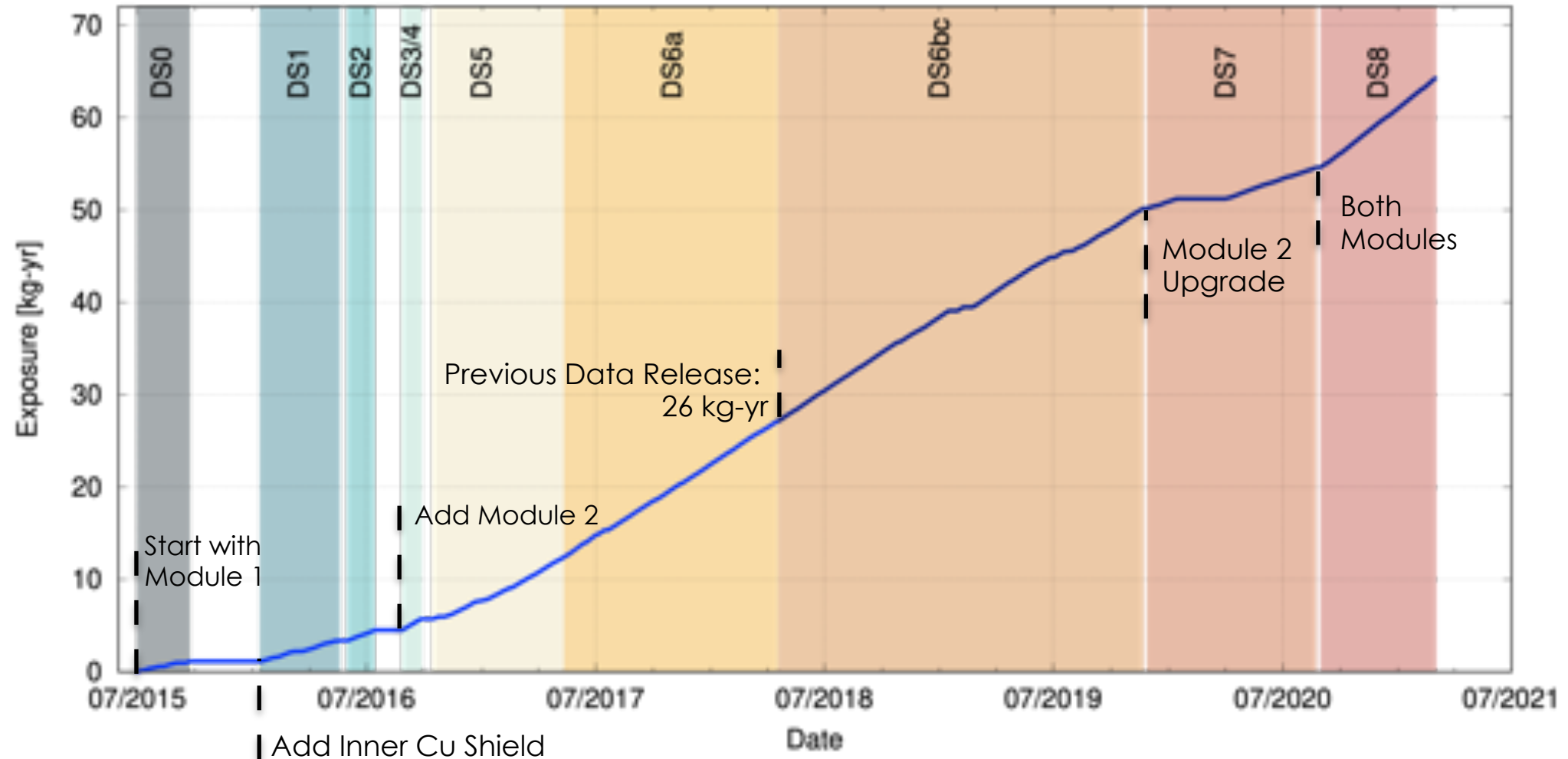


65 kg-yr of Exposure in Enriched Detectors



Total collected active exposure over time in enriched detectors.

Datasets (DSs) represent changes in experimental configuration

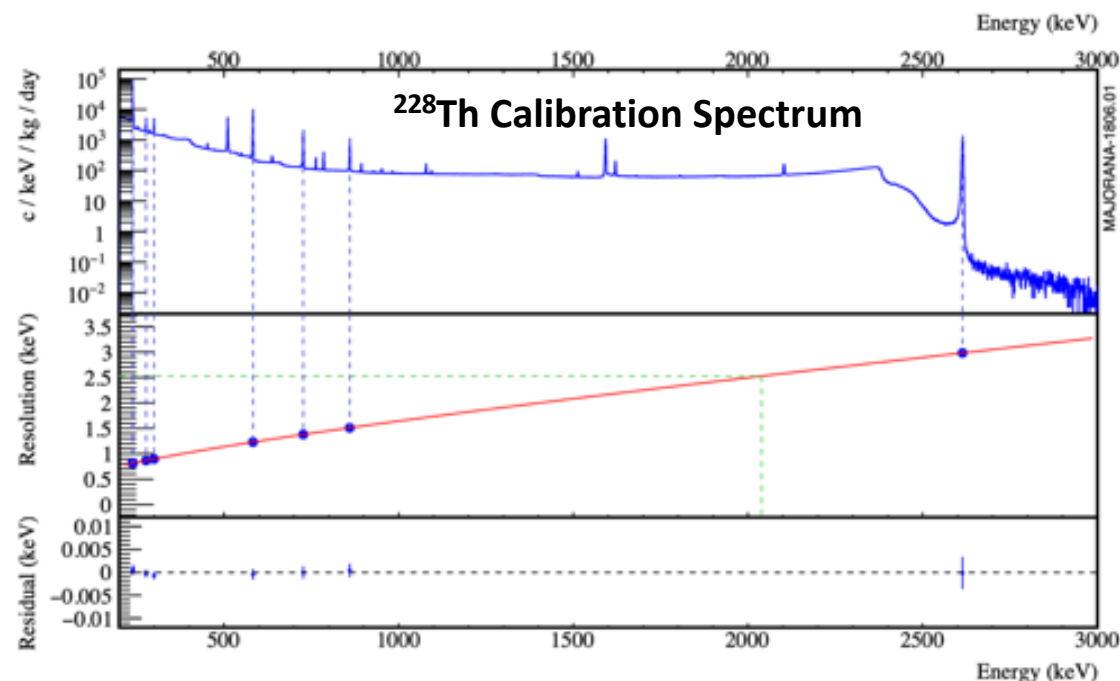


Energy Reconstruction and ICPC Detectors



Energy estimated via optimized trapezoidal filter of ADC-nonlinearity-corrected* traces with charge-trapping correction

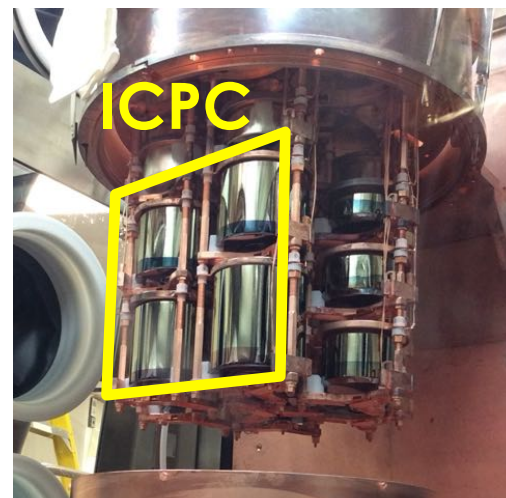
FWHM of 2.5 keV at $Q_{\beta\beta}$ of 2039 keV (0.12%) is a record for $0\nu\beta\beta$ searches



FWHM of combined enriched detectors in the MAJORANA DEMONSTRATOR, measured using ^{228}Th calibration data

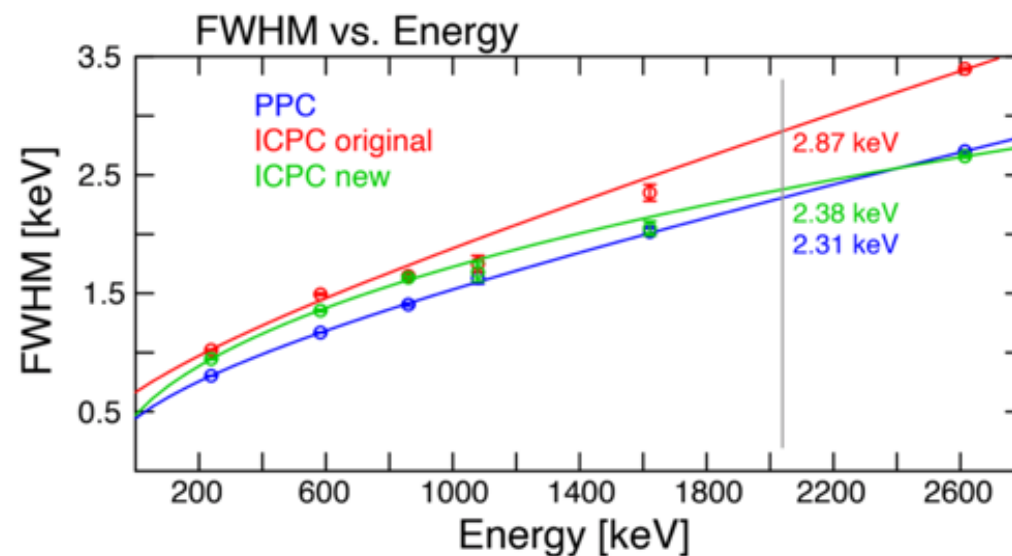
NIMA 872 (2017) 16

* IEEE Trans. on Nuc Sci 10.1109/TNS.2020.3043671



MAJORANA operated 4 Inverted-Coaxial Point Contact Detectors from Aug. 2020 to Mar. 2021

- Larger range of drift times requires new analysis techniques
- Best energy resolution for ICPCs to date!



Combined energy resolution of ICPCs improved from 2.9 keV to 2.4 keV FWHM at 2039 keV with new technique

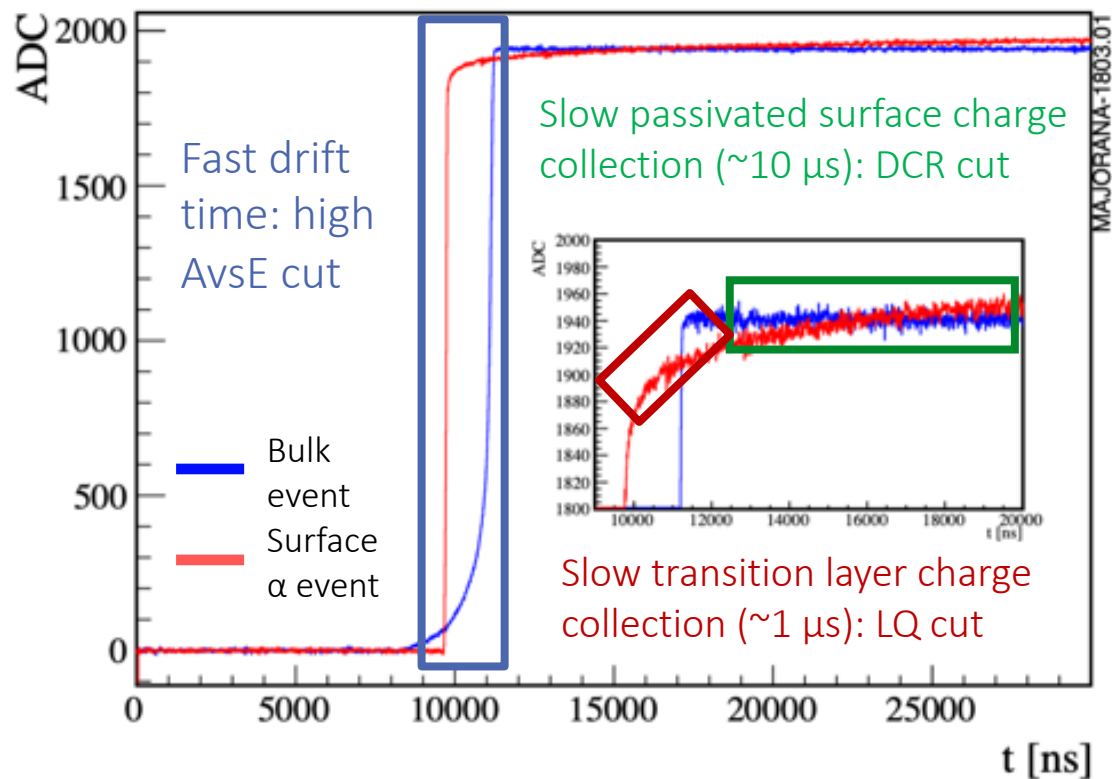
Analysis Techniques for Reducing Backgrounds



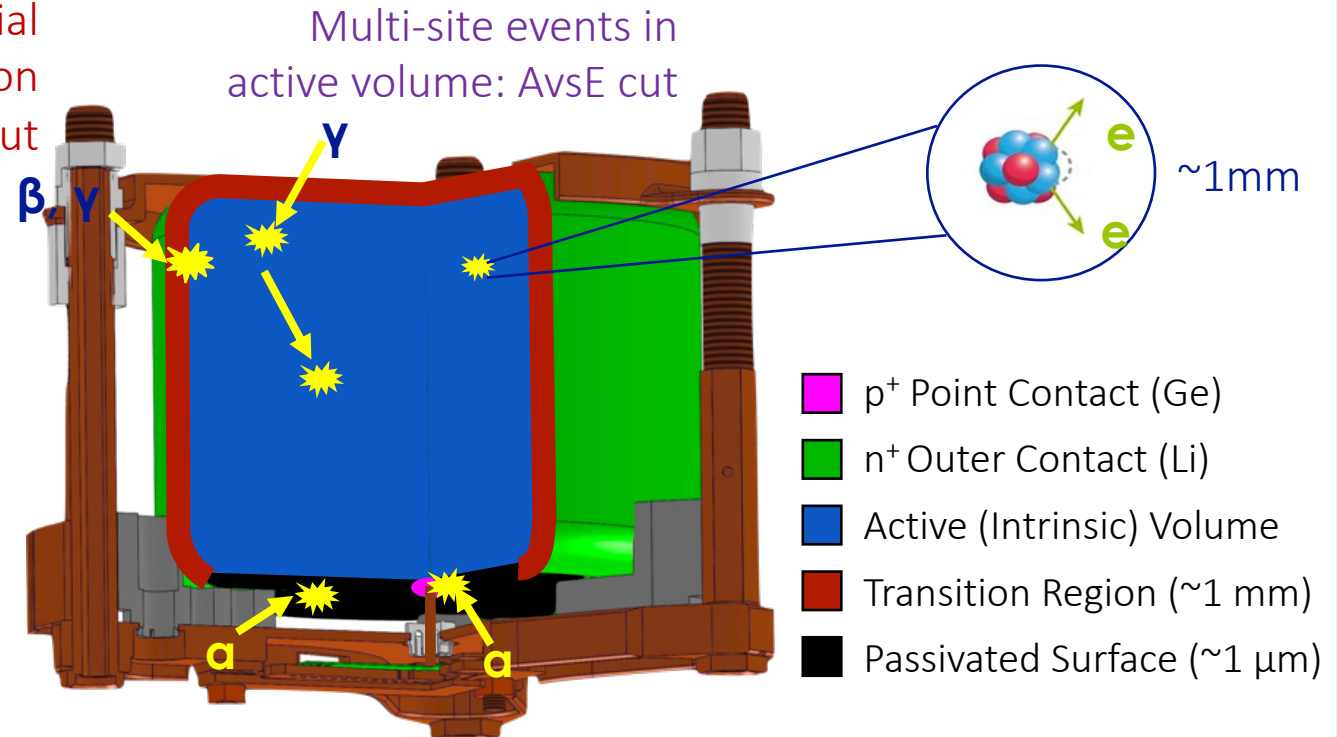
$0\nu\beta\beta$ is most likely single-site and located in the bulk of the detector. Many backgrounds are multi-site or located near detector surfaces. Pulse-shape discrimination is used to distinguish between these event topologies.

PRC 99 065501 (2019)

EPJC 82 (2022) 226



Detector surface: for partial charge deposition in transition dead layer: LQ cut



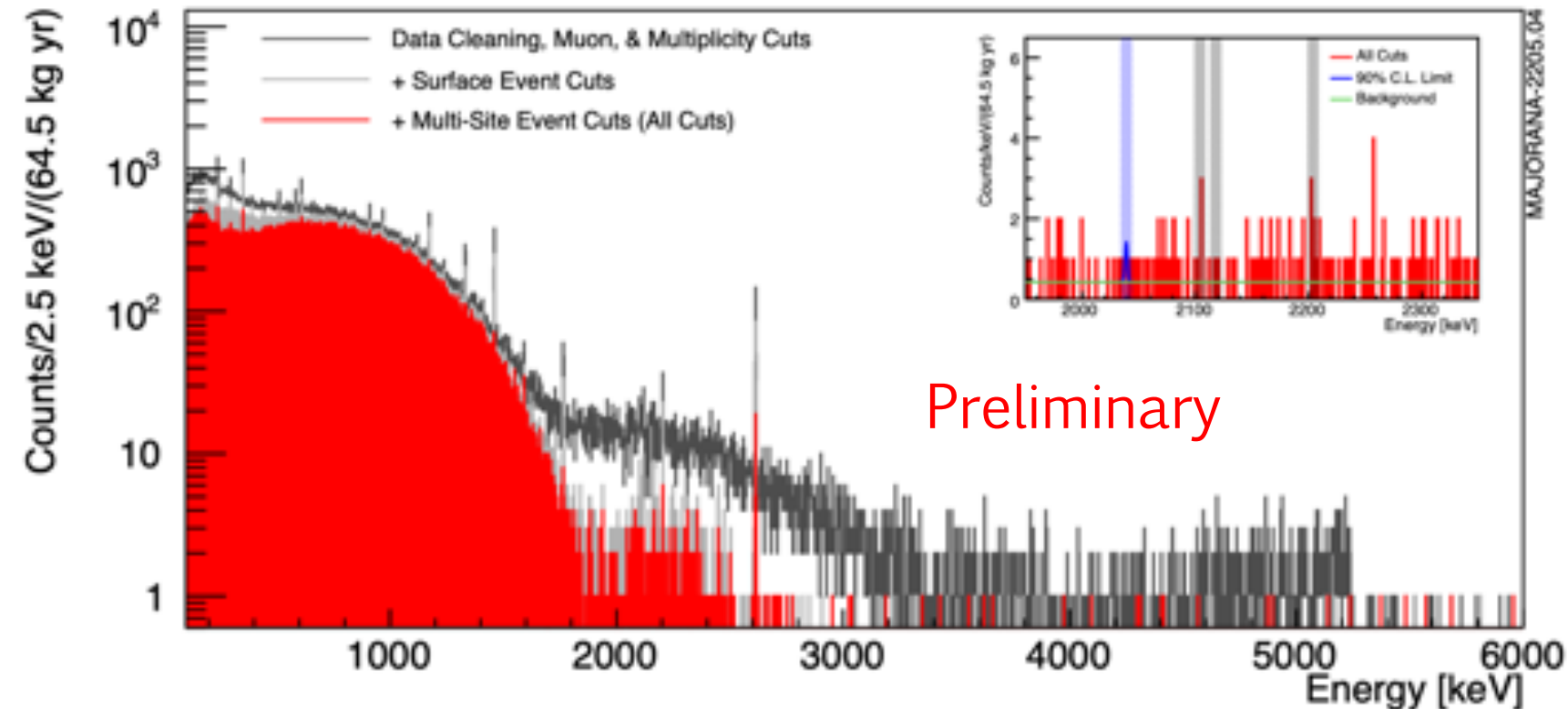
Detector surface: for particle incident on passivated surface: DCR cut

Detector surface: for particle incident on surface near point contact: high AvsE cut

Final-Exposure Spectrum



Operated in a low background regime, benefiting from excellent energy resolution



Final enriched detector active exposure:

$$64.5 \pm 0.9 \text{ kg yrs}$$

Background Index at 2039 keV in lowest background config:

$$15.7 \pm 1.4 \text{ cts}/(\text{FWHM t yr})$$

Module 1:

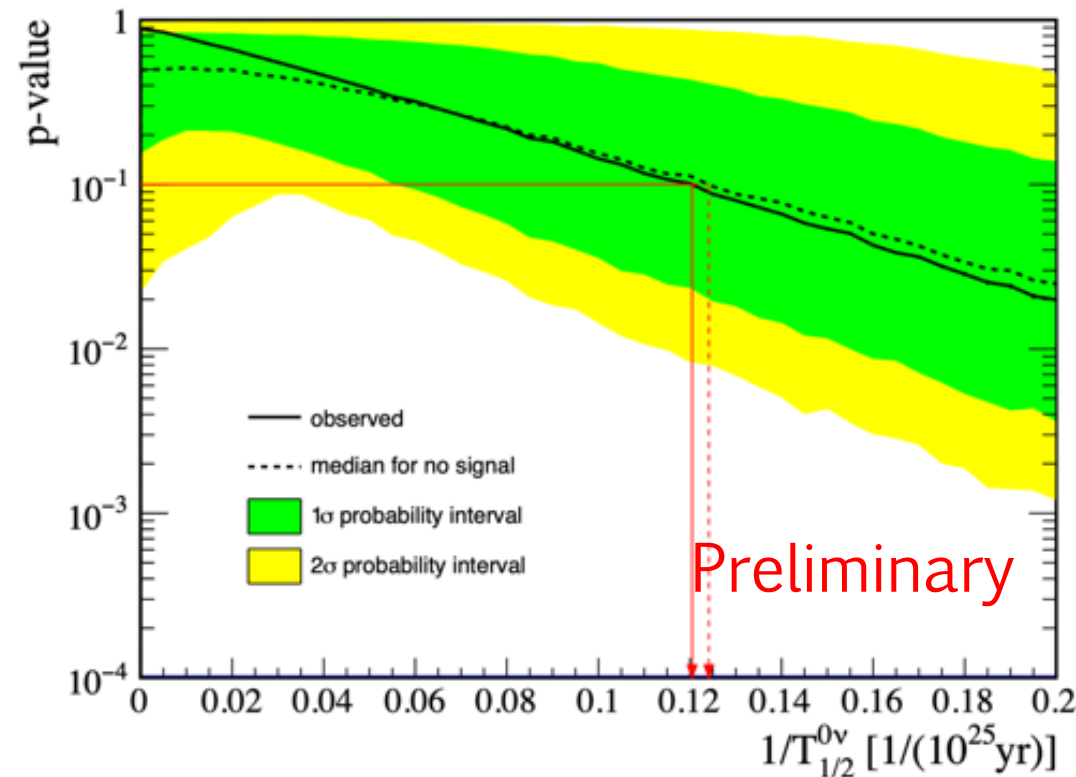
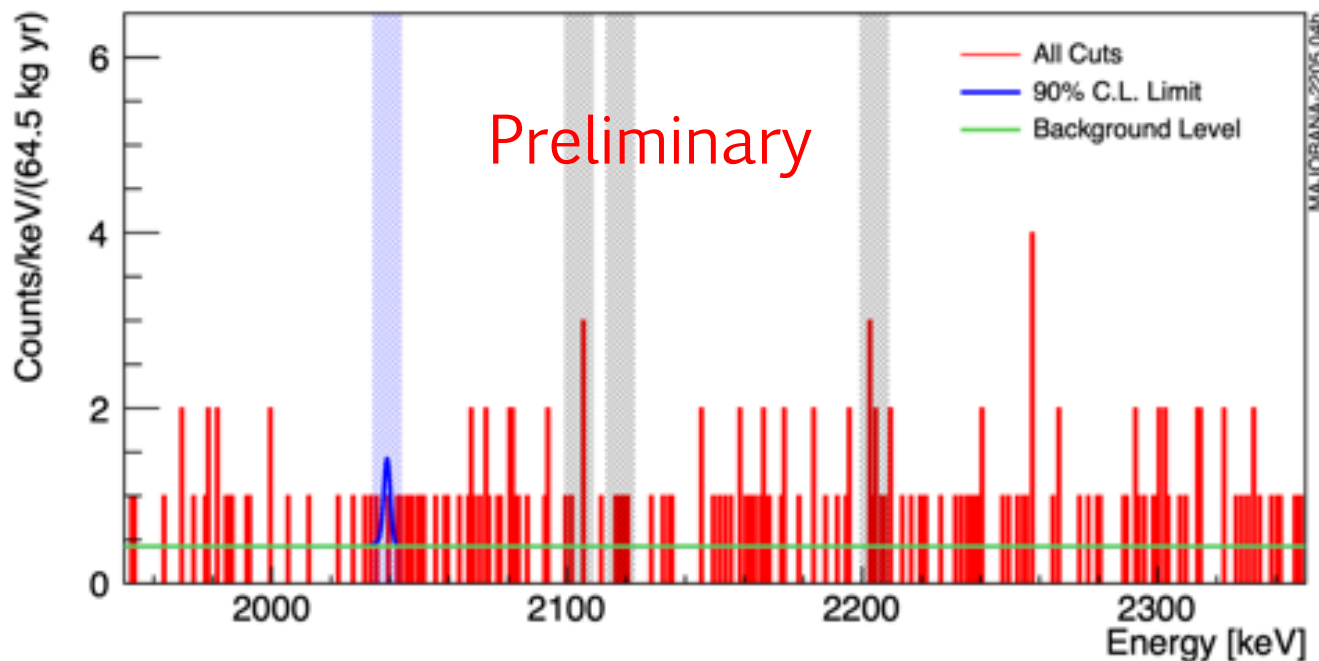
$$18.6 \pm 1.8 \text{ cts}/(\text{FWHM t yr})$$

Module 2:

$$8.4^{+1.9}_{-1.7} \text{ cts}/(\text{FWHM t yr})$$

Full spectrum with combined total of 65 kg-yr.

Results



Background Index: $(6.2 \pm 0.6) \times 10^{-3}$ cts/(keV kg yr)

Energy resolution: 2.5 keV FWHM @ $Q_{\beta\beta}$

Frequentist Limit:

Median $T_{1/2}$ Sensitivity: 8.1×10^{25} yr (90% C.I.)

65 kg-yr Exposure Limit: $T_{1/2} > 8.3 \times 10^{25}$ yr (90% C.I.)

Bayesian Limit: (flat prior on rate)

65 kg-yr Exposure Limit: $T_{1/2} > 7.0 \times 10^{25}$ yr (90% C.I.)

$$m_{\beta\beta} < 113 - 269 \text{ meV}$$

From the Current Generation to the Ton Scale

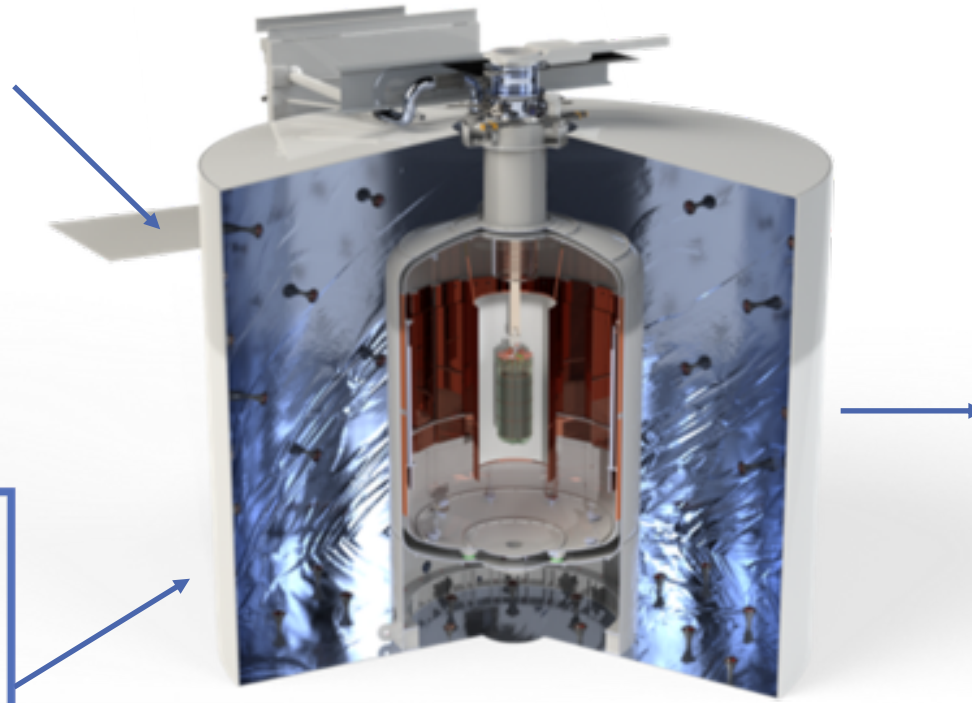


MJD: New final exposure results

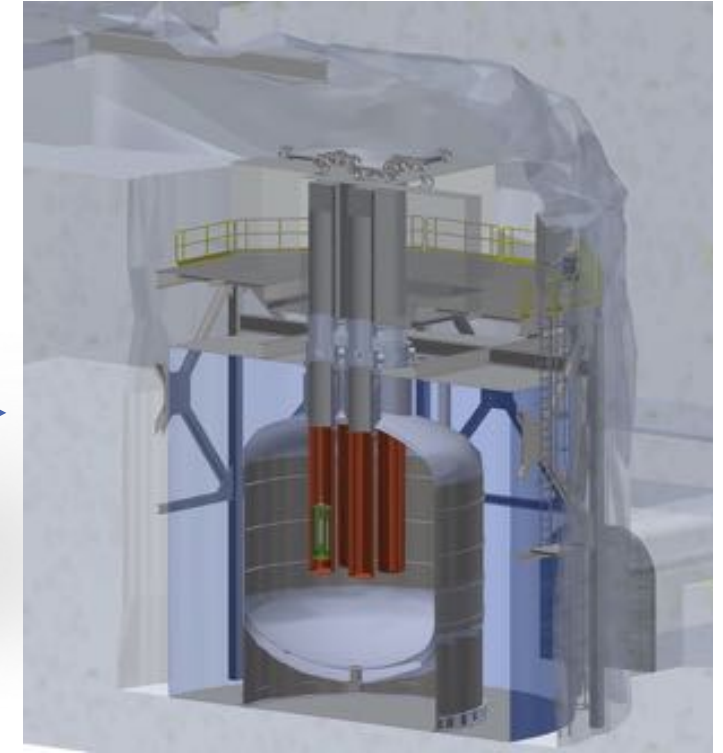


GERDA: Final $0\nu\beta\beta$ results published

PRL 125, 252502 (2020)



LEGEND-200: Now in commissioning



LEGEND-1000: Conceptual design development continuing

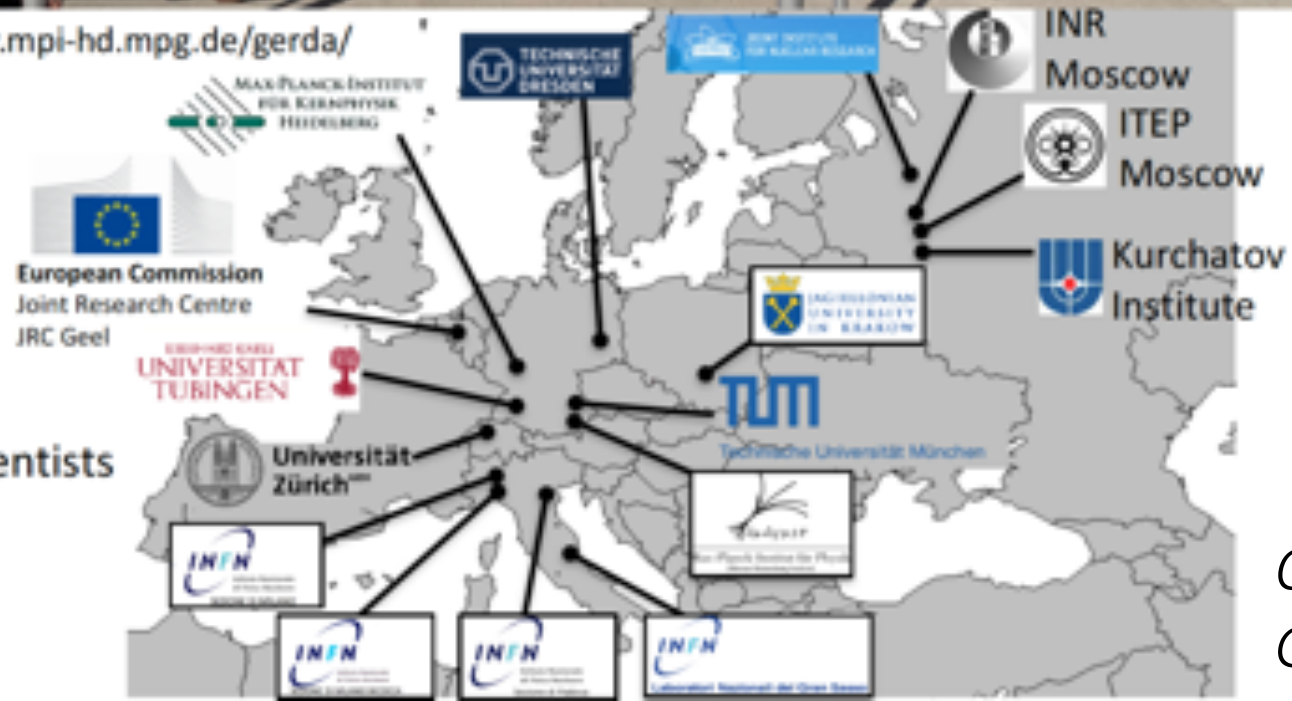
arXiv: 2107.11462

GERDA at Laboratori Nazionali del Gran Sasso in Italy



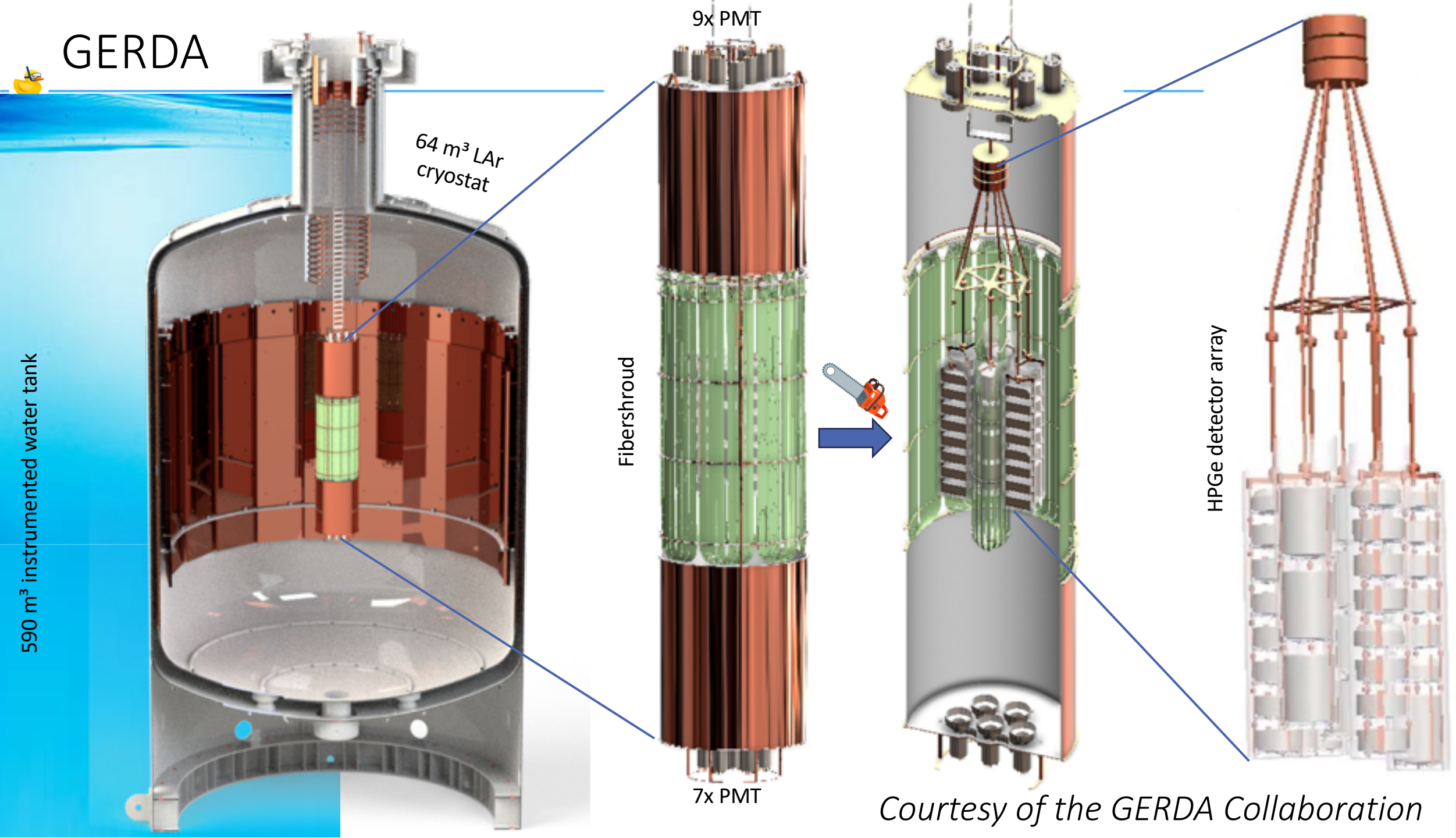
<http://www.mpi-hd.mpg.de/gerda/>

About 100 scientists
from Europe



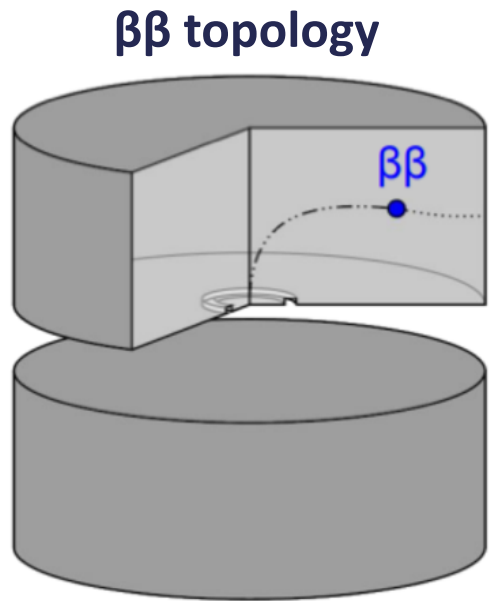
*Courtesy of the
GERDA Collaboration*

GERDA



Courtesy of the GERDA Collaboration

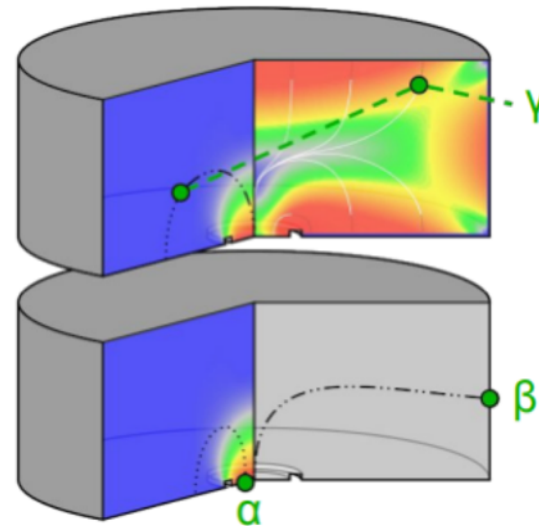
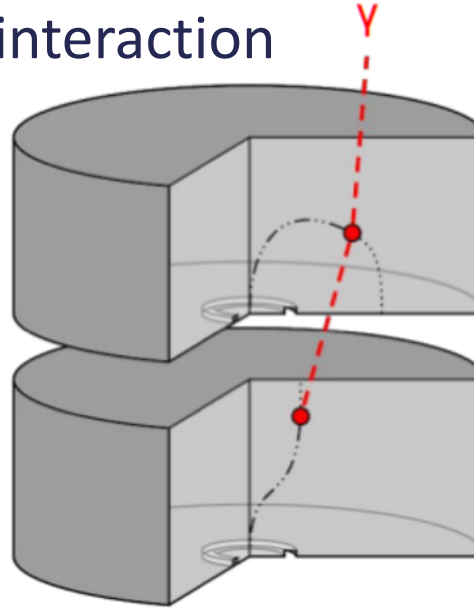
Background Rejection in GERDA



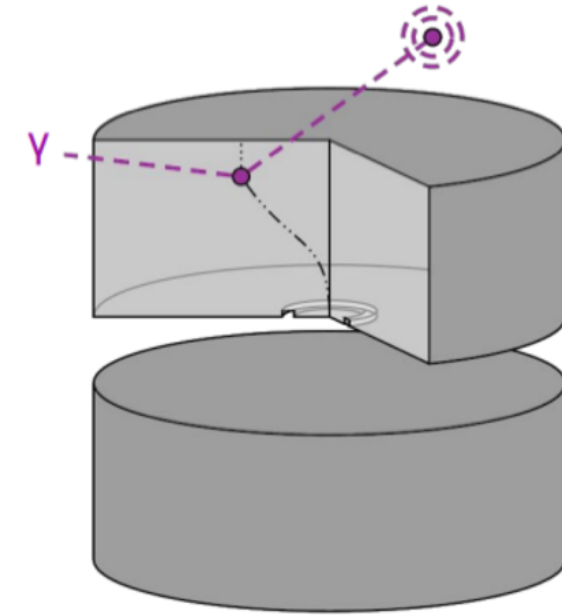
point-like (single-detector,
single-site)

background events

multidetector
interaction



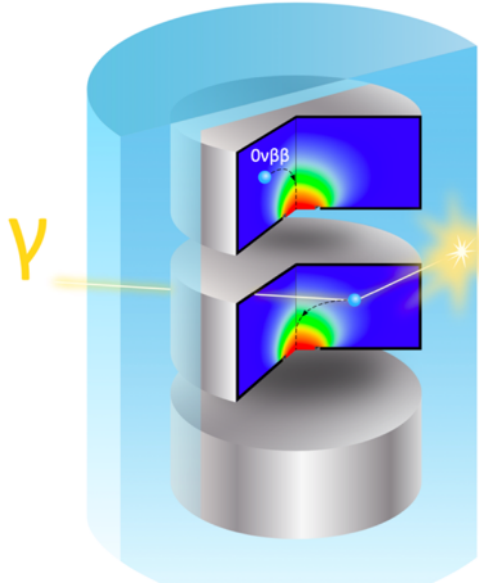
Coincident energy
deposition in LAr



multi-site & surface interaction
(Pulse shape discrimination)

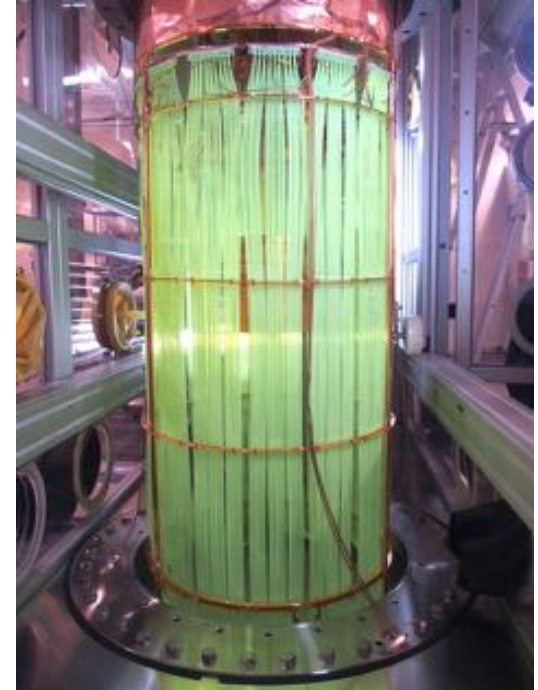
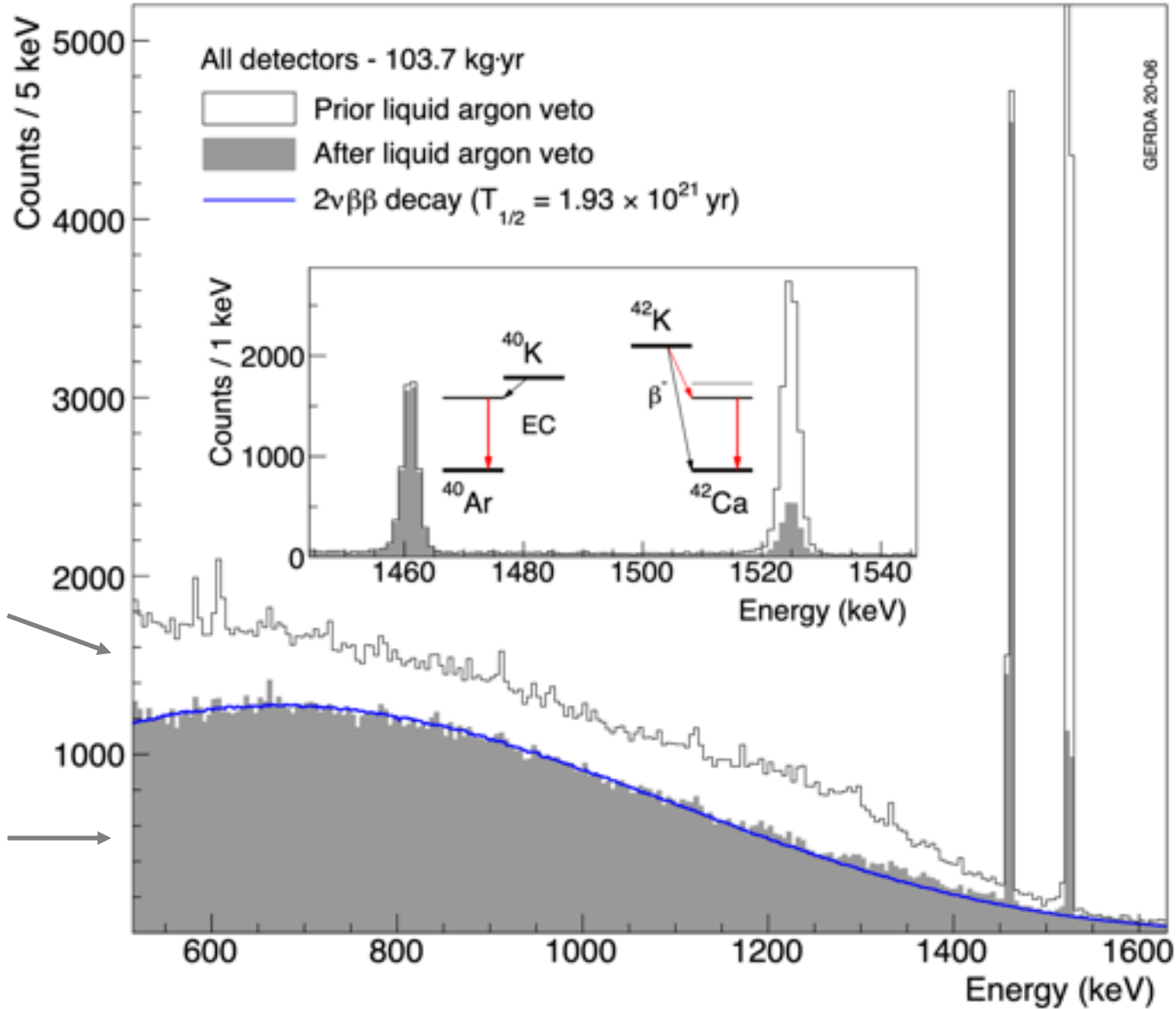
Courtesy of the GERDA Collaboration

LAr Active Veto



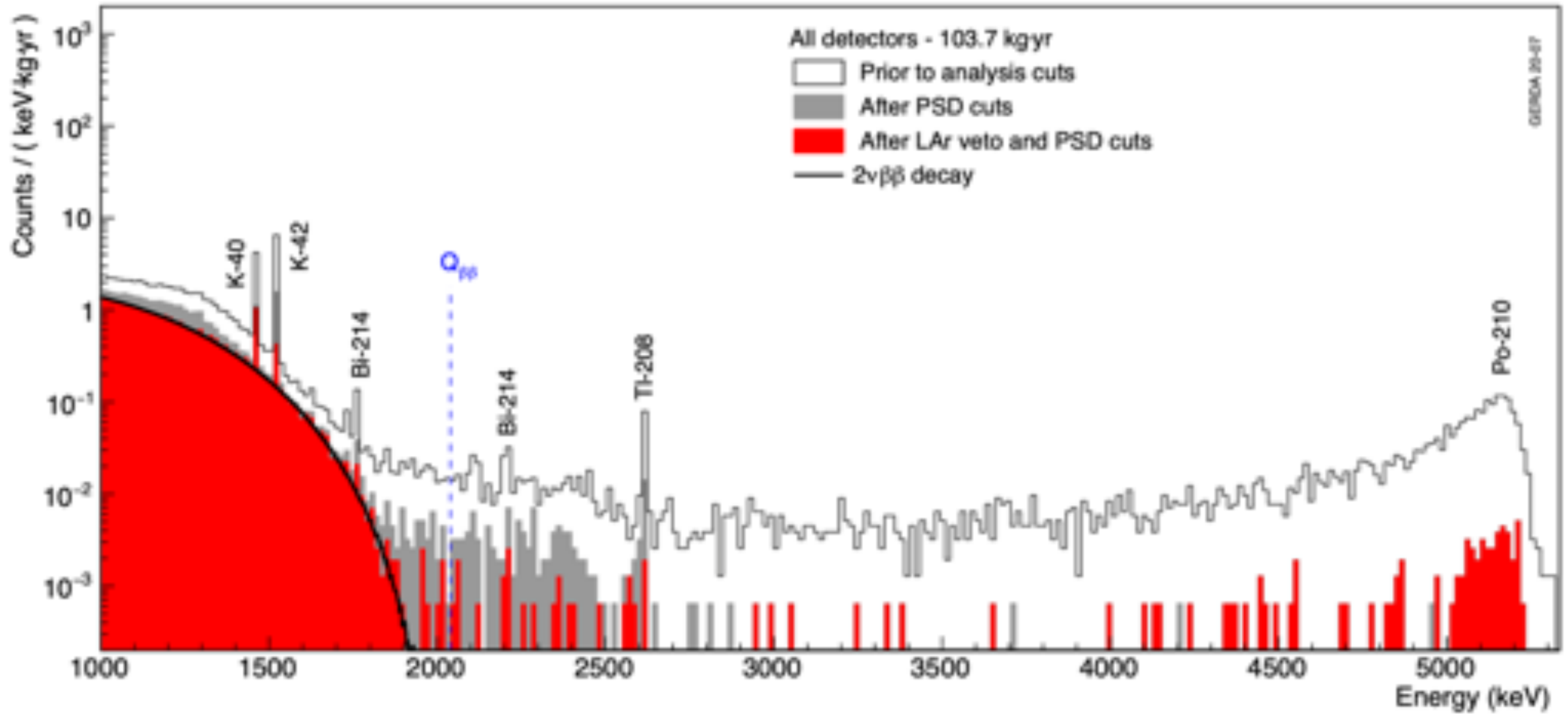
Compton events with energy deposition in the LAr

Pure $2\nu\beta\beta$ spectrum after LAr signal suppression



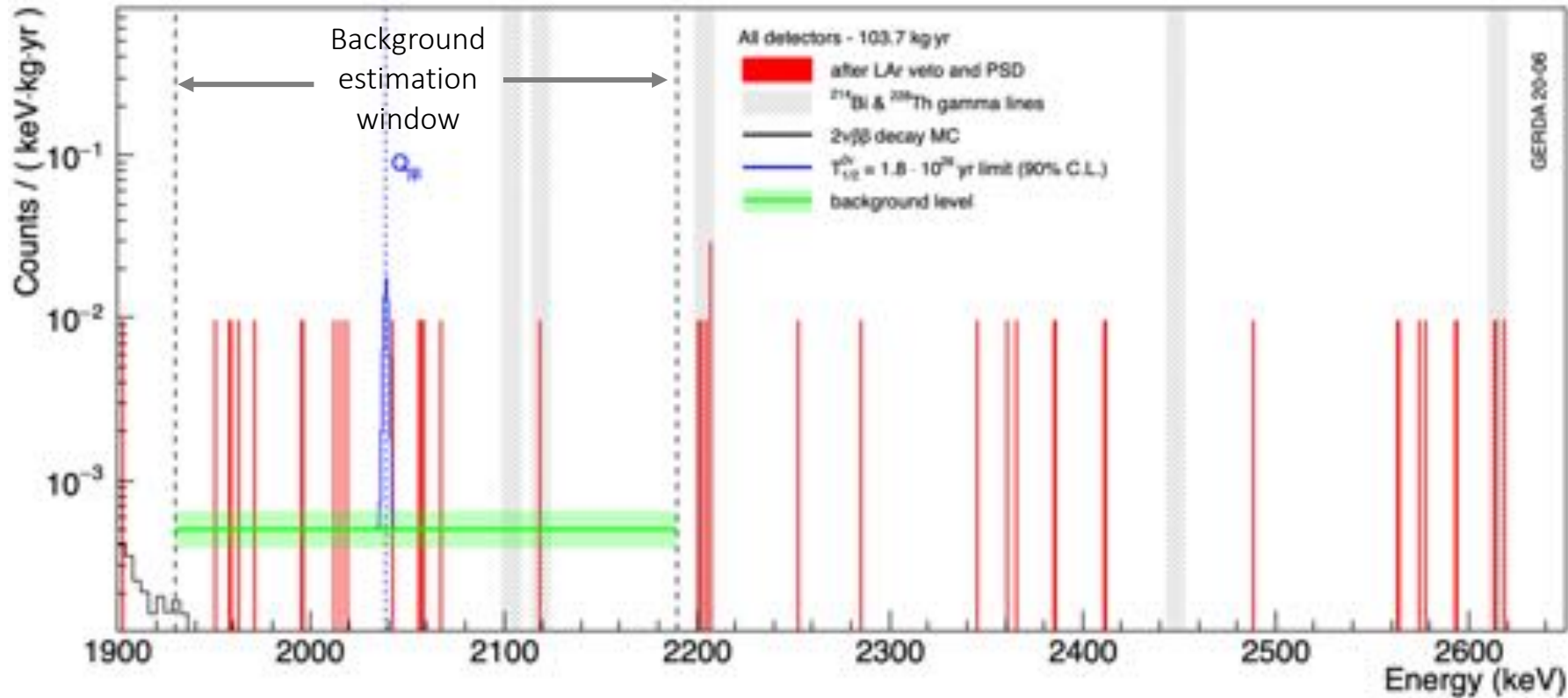
*Courtesy of
the GERDA
Collaboration*

Final-Exposure Spectrum



Courtesy of the GERDA Collaboration

Results



PRL 125, 252502 (2020)

Background index: $5.2^{+1.6}_{-1.3} \cdot 10^{-4}$ cts/(keV kg yr),

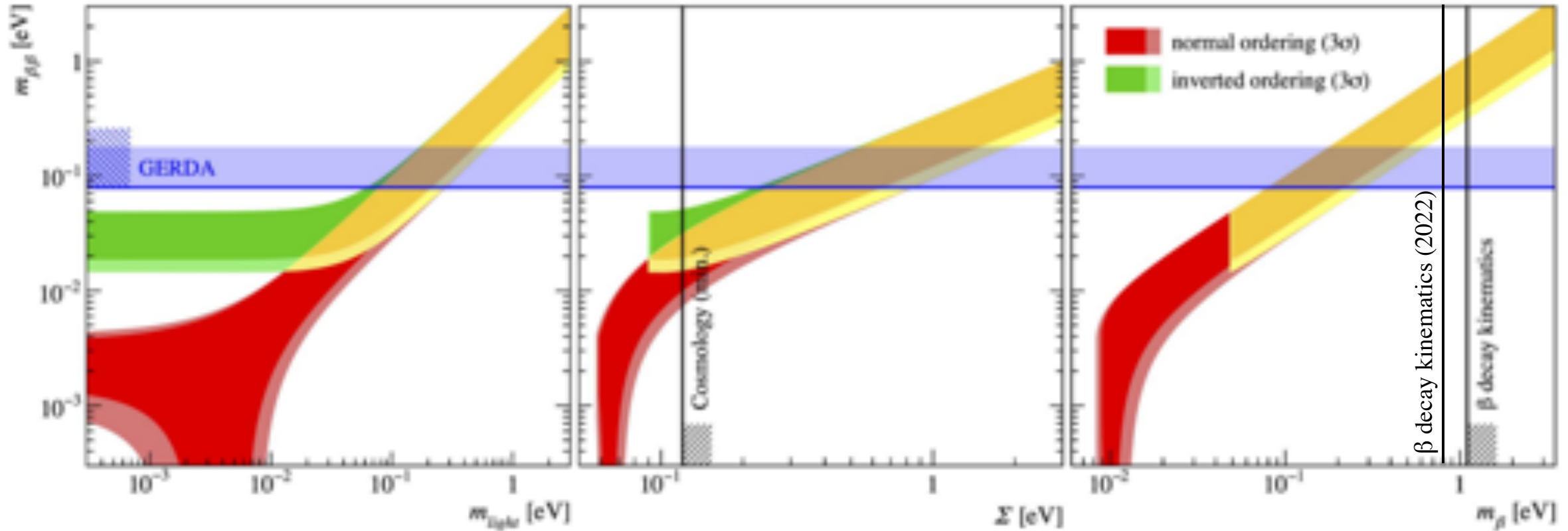
Energy resolution ~ 3 keV (FWHM)

Frequentist: $N^{0\nu} = 0$ best fit, $T_{1/2} > 1.8 \cdot 10^{26}$ yr at 90% C.L.

Bayesian: flat prior on rate, $T_{1/2} > 1.4 \cdot 10^{26}$ yr at 90% C.I.

*Courtesy of the
GERDA Collaboration*

Constraints on $m_{\beta\beta}$



$$m_{\beta\beta} < 79 - 180 \text{ meV}$$

Planck+BAO: $\Sigma < 0.12 \text{ eV}$
[Aghanim et al., A&A 641 A6 (2020)]

KATRIN: $m_{\beta} < 0.8 \text{ eV}$
[Nat. Phys. 18, 160–166 (2022)]

GERDA finished by surpassing all design goals
(100 kg yr exposure , $< 10^{-3} \text{ cts}/(\text{keV kg yr})$ background, $> 10^{26} \text{ yr}$ sensitivity)

*Courtesy of the
GERDA Collaboration*

Future Plans for the MAJORANA DEMONSTRATOR and GERDA

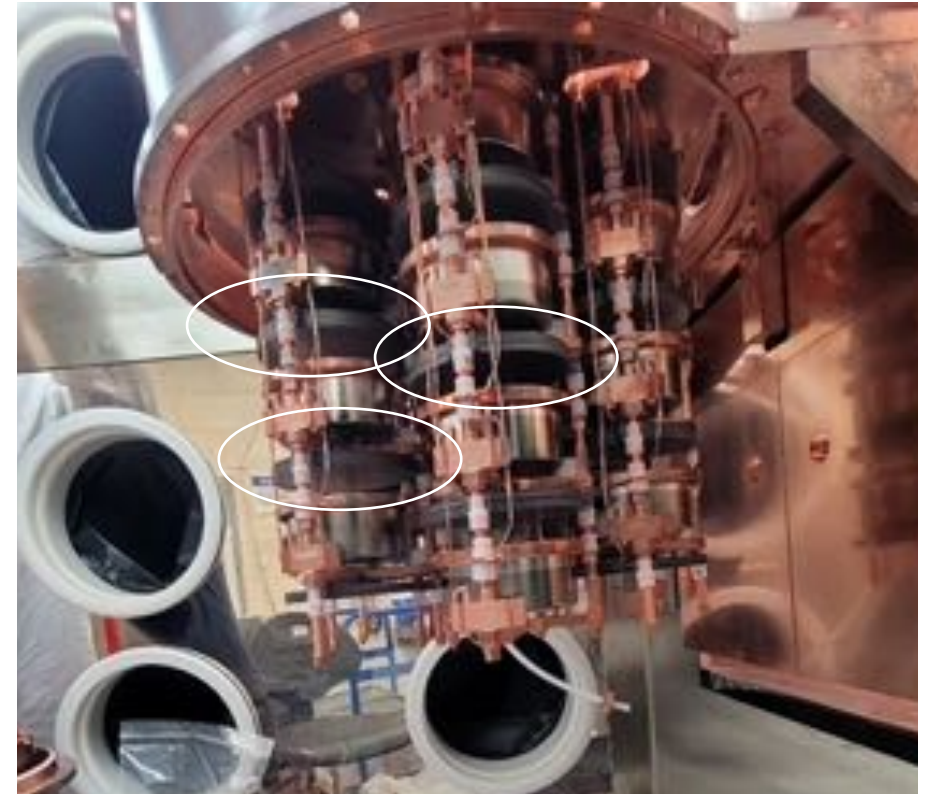
The MAJORANA DEMONSTRATOR:

- New BSM physics results coming soon
- Continuing to take data with natural isotopic abundance detectors
- Further background measurements to refine background model
- Ongoing measurement of $^{180\text{m}}\text{Ta}$ half-life, nature's longest-lived metastable state

GERDA:

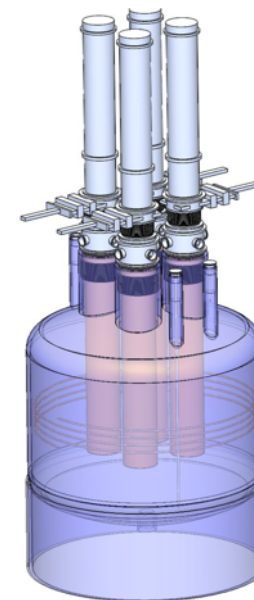
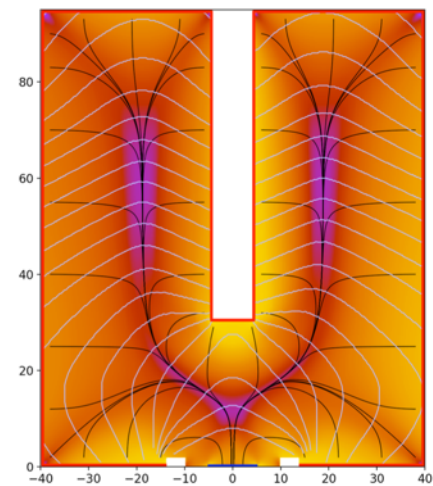
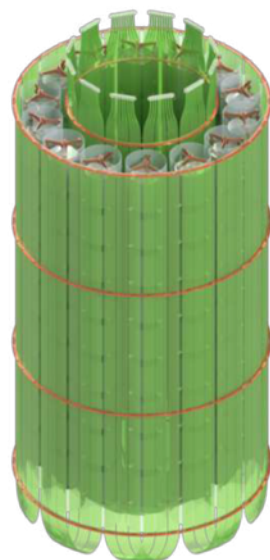
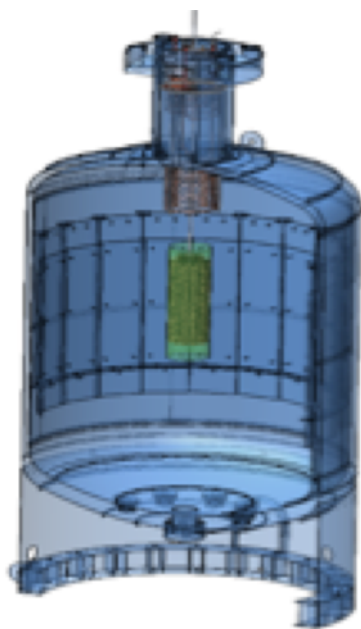
- New BSM physics results coming soon

Many MAJORANA and GERDA collaborators, techniques, detectors, and infrastructure are now involved in LEGEND.



$^{180\text{m}}\text{Ta}$ plates inserted between detectors

Joining Forces: LEGEND



From the Current Generation to the Ton Scale



MJD: New final exposure results

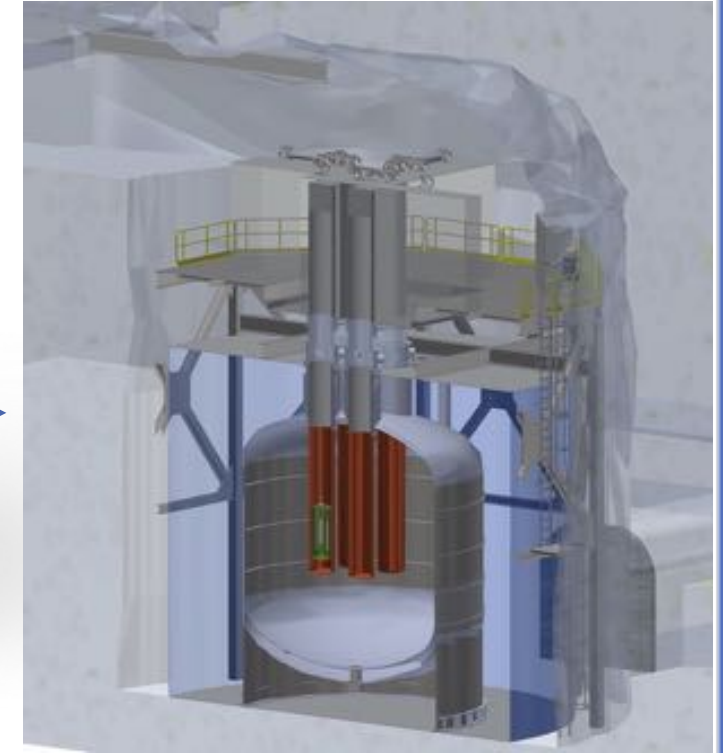


GERDA: Final $0\nu\beta\beta$ results published

PRL 125, 252502 (2020)



LEGEND-200: Now in commissioning



LEGEND-1000: Conceptual design development continuing

arXiv: 2107.11462

The LEGEND Collaboration



LEGEND mission: “The collaboration aims to develop a phased, ^{76}Ge based double-beta decay experimental program with discovery potential at a half-life beyond 10^{28} years, using existing resources as appropriate to expedite physics results.”

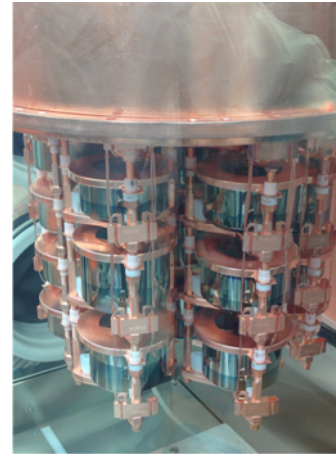
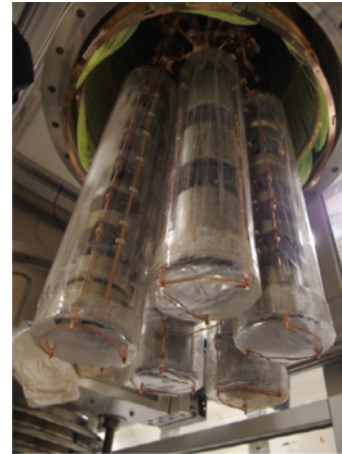
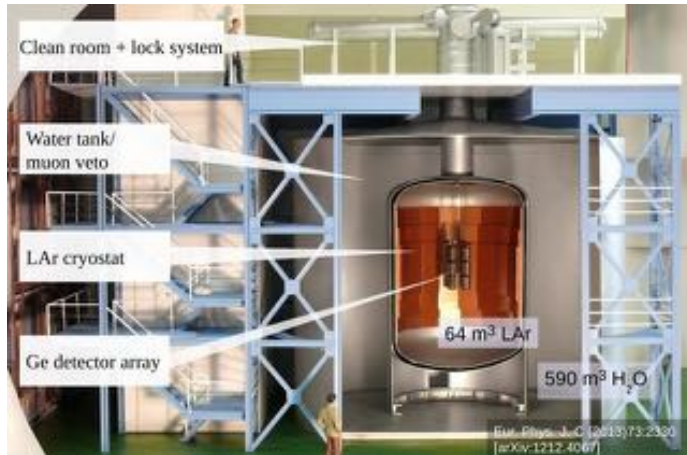
Approx. 260 members, 50 institutions, 11 countries



LEGEND Approach: Proven Technologies

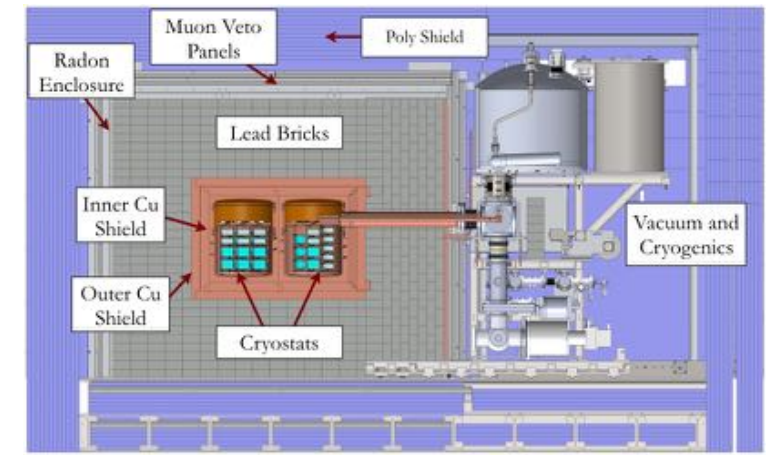
GERDA achieved the lowest background rate: 5×10^{-4} cts/(keV kg yr)

LEGEND-200 plans to improve by only x2.5



MAJORANA achieved best energy resolution: 2.5 keV FWHM at $Q_{\beta\beta}$

LEGEND plans to maintain this performance



Combine the best of GERDA:

- LAr active veto and instrumentation
- Low-A shielding, no Pb

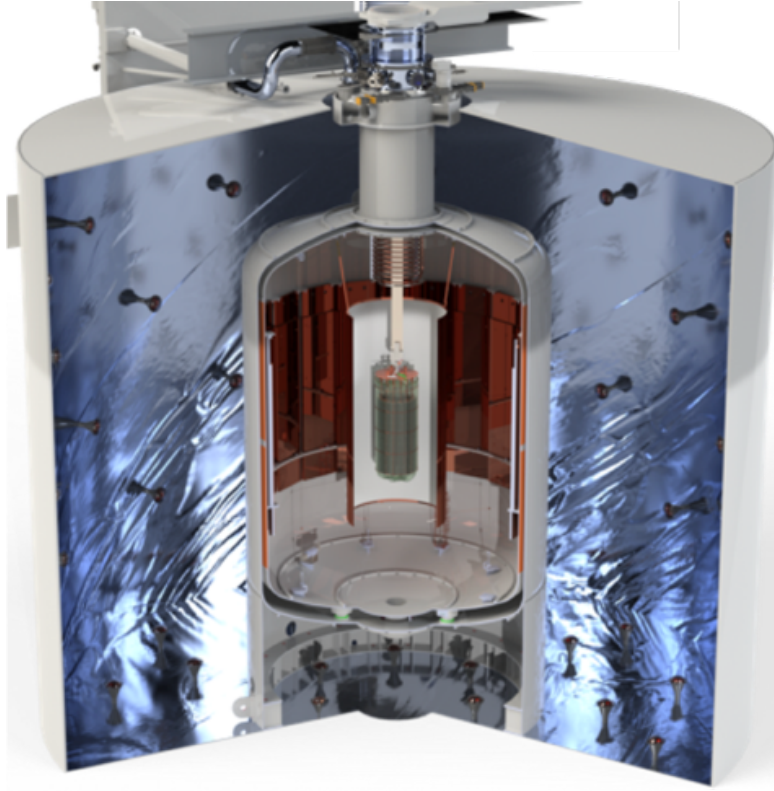
... with the best of MAJORANA:

- Radiopurity of near-detector parts
- Low-noise electronics improves PSD

and techniques developed in both experiments:

- Clean fabrication techniques
- Control of surface exposure
- Development of large point-contact detectors

LEGEND Approach: Phased Deployment



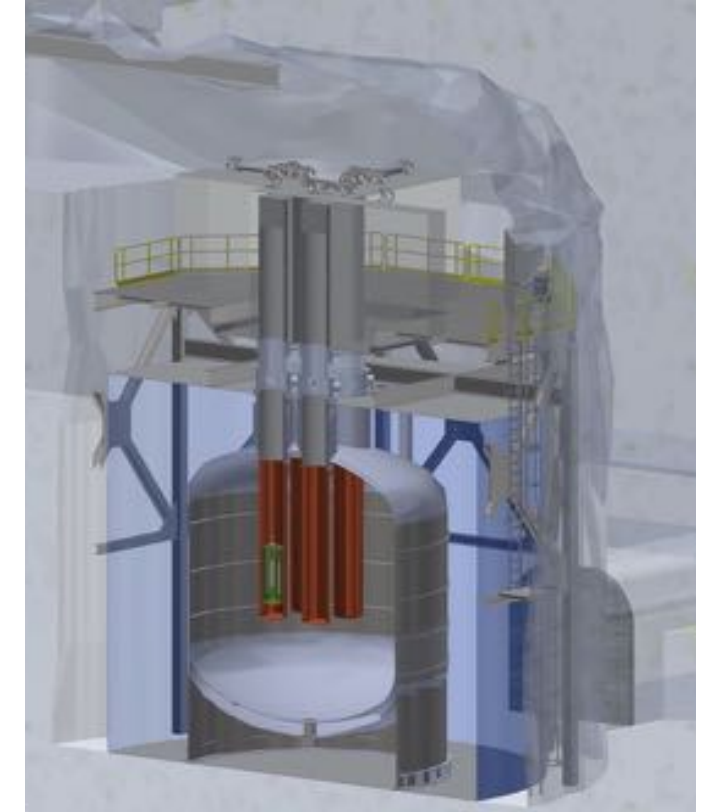
arXiv: 2107.11462

LEGEND-200:

- 200 kg, upgrade of existing GERDA infrastructure at Gran Sasso
- 2.5 keV FWHM resolution
- Background goal
 $< 0.6 \text{ cts}/(\text{FWHM t yr})$
 $< 2 \times 10^{-4} \text{ cts}/(\text{keV kg yr})$
- Now in commissioning, physics data starting in 2022

LEGEND-1000:

- 1000 kg, staged via individual payloads (~400 detectors)
- Timeline connected to review process
- Background goal $< 0.025 \text{ cts}/(\text{FWHM t yr})$, $< 1 \times 10^{-5} \text{ cts}/(\text{keV kg yr})$
- Location to be selected



LEGEND-200 Design and Commissioning

Improvements from GERDA/MJD:

- Larger detectors
- Improved LAr light collection: higher purity Ar and improved readout
- Cleaner, lower mass cables
- Lower noise electronics
- UGEFCu and self-vetoing PEN plated for detector mounts

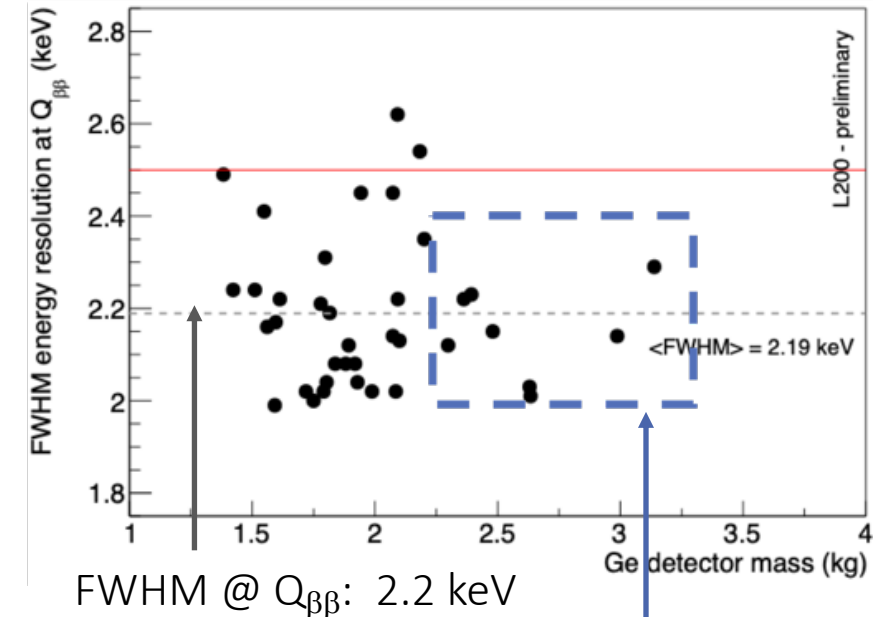
→ Factor of 3 reduction in backgrounds relative to GERDA

Quasi-background free operation up to 1 ton-year exposure, for **unambiguous discovery up to 10^{27} yrs**



Photo: Enrico Sacchetti

Detector Characterization:
ICPC Energy Resolution

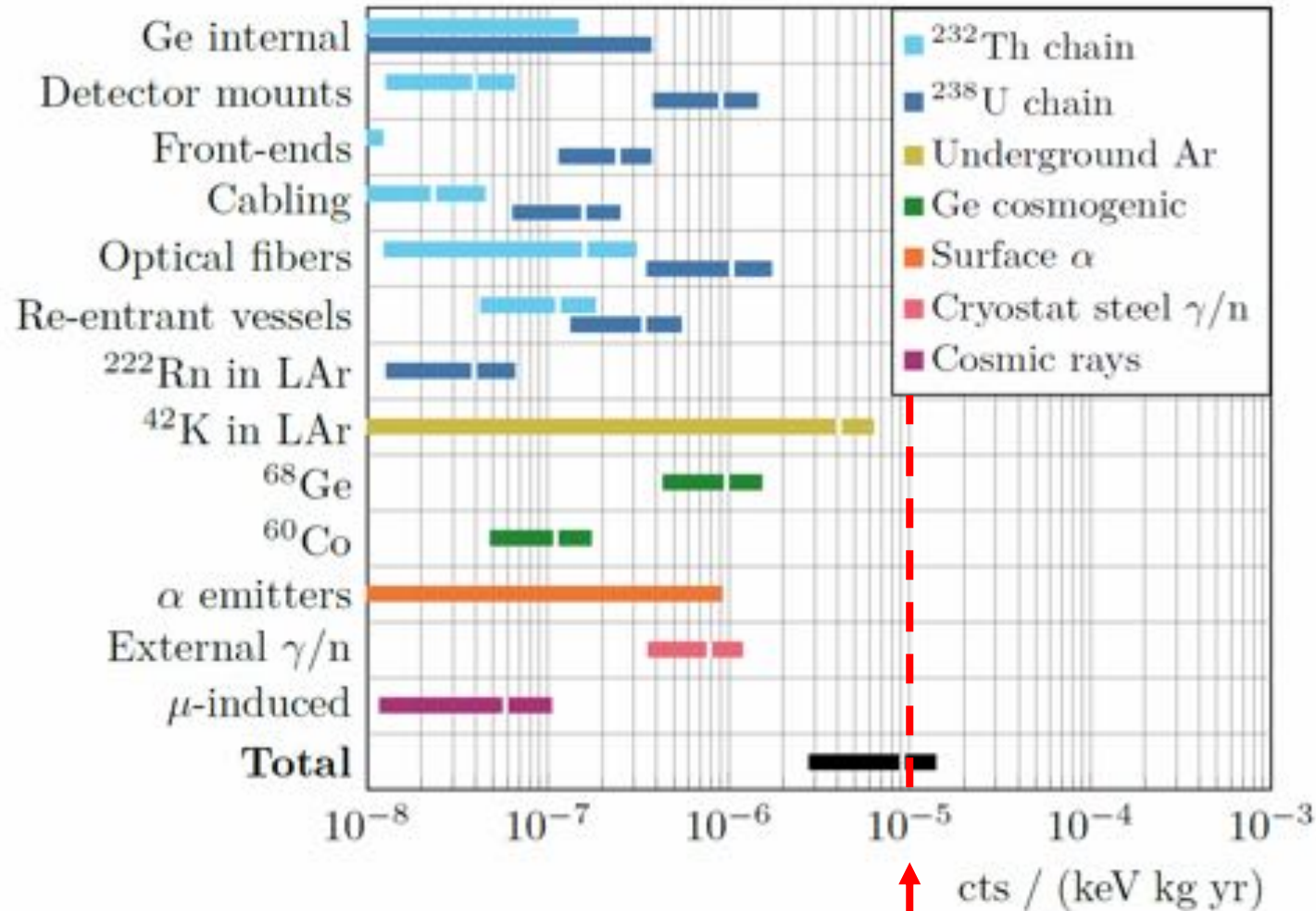


Large-mass detectors show excellent energy resolution

First integrated commissioning run now underway:
4 strings of HPGe detectors, operating with full LAr system

LEGEND-1000 Background Projections

Background index at $Q_{\beta\beta}$ after all cuts



LEGEND-1000 background goal

Improvements from LEGEND-200:

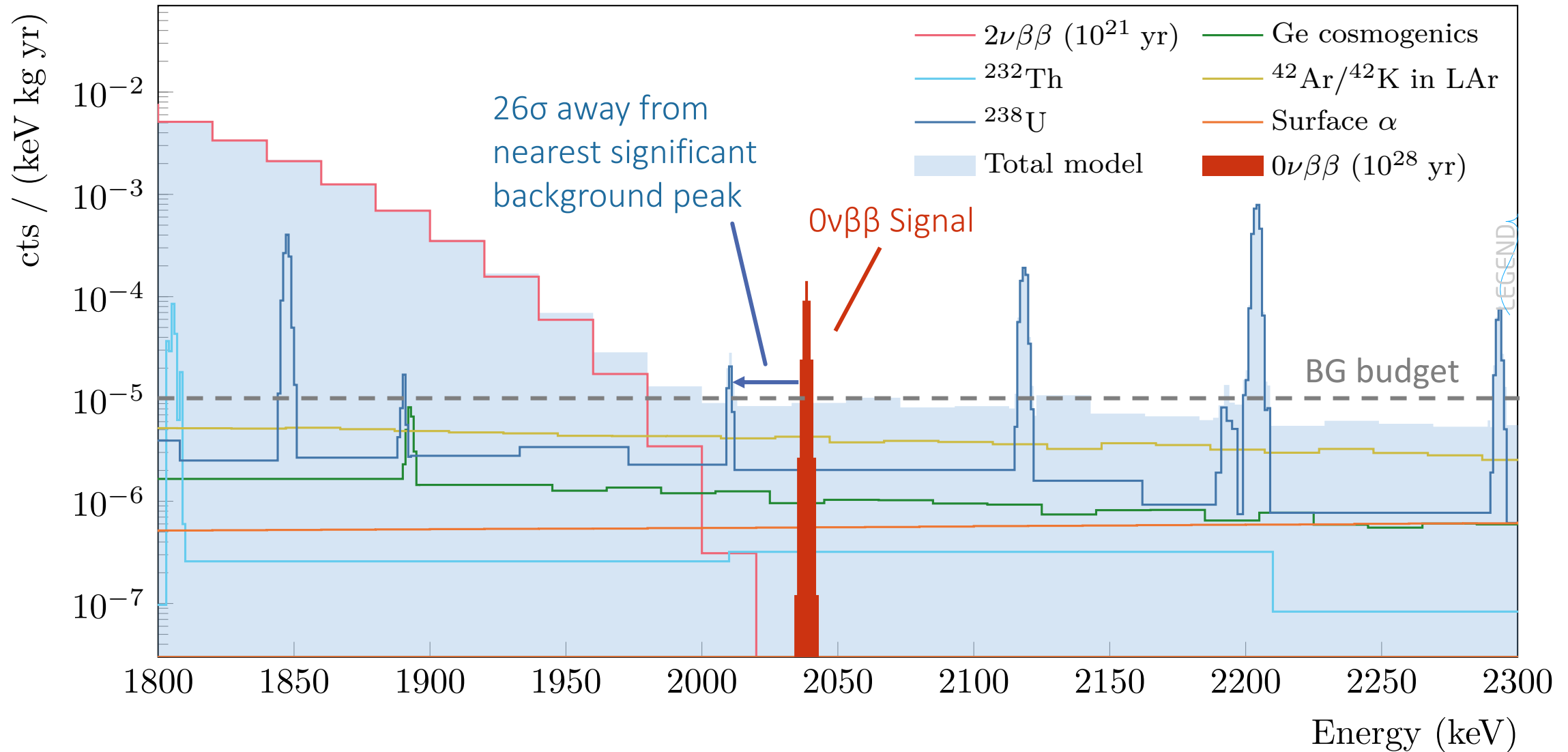
- Larger detectors (2.6 kg avg)
- New cables and ASIC read-out
- Underground Ar surrounding detectors
- Optimized array spacing and LAr instrumentation
- Deeper underground site or additional neutron shielding & tagging: SNOLAB and LNGS options

Projected background index after all cuts:

$$9.4^{+4.9}_{-6.3} \times 10^{-6} \text{ counts}/(\text{keV kg yr})$$

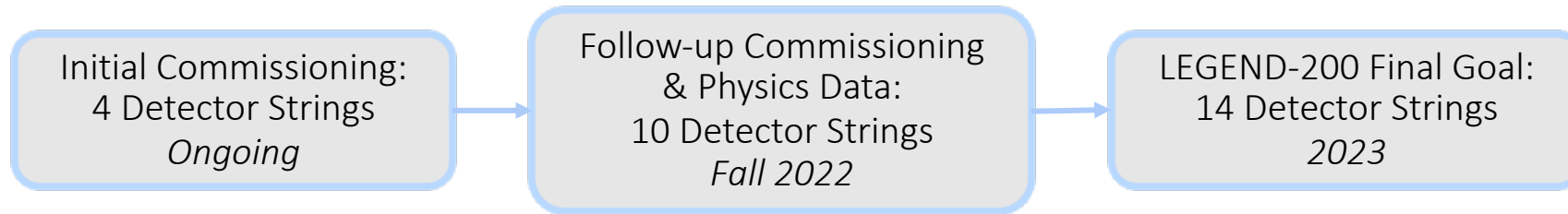
Quasi-background free operation up to 10 ton-year exposure, for **unambiguous discovery beyond 10^{28} years**

The LEGEND-1000 Background Model



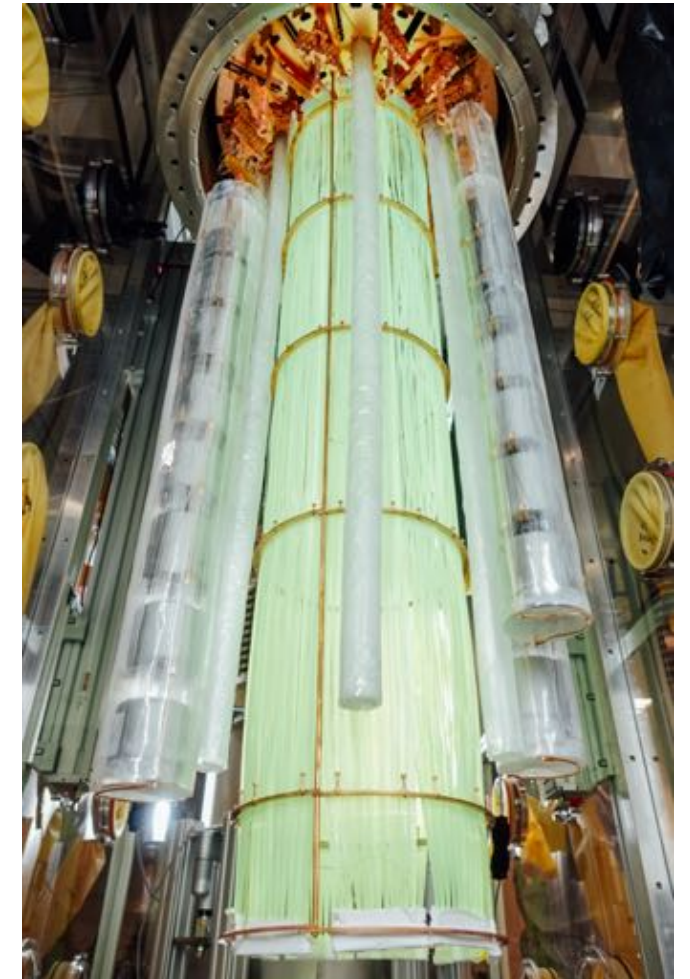
LEGEND-200:

- Upgrade and stand-alone commissioning of the LAr system completed
- 2020 ICPC deployment in LAr with LEGEND electronics demonstrated excellent energy resolution
- First integrated commissioning run now underway!
- First physics data-taking this year



LEGEND-1000:

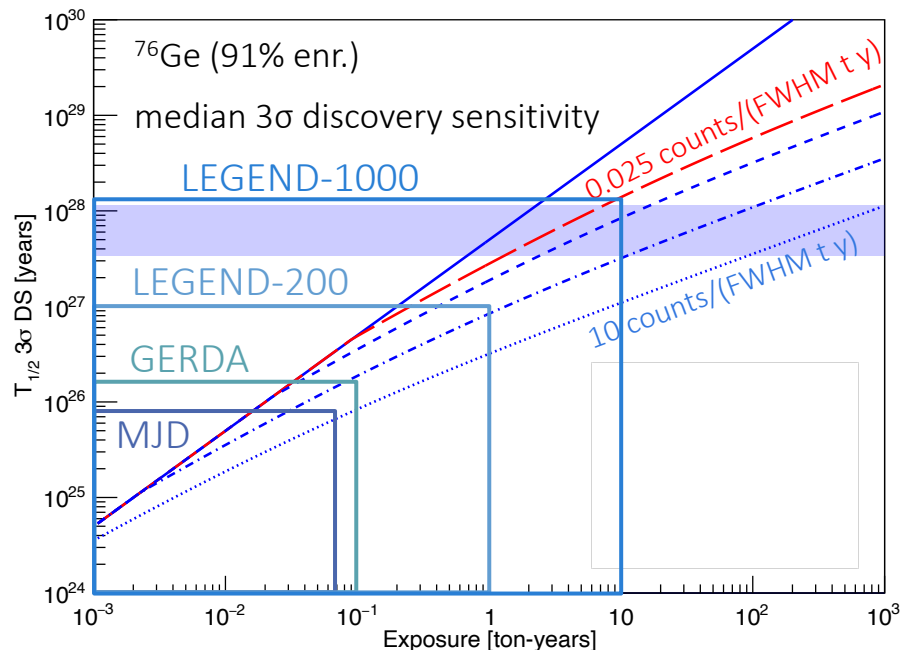
- Pre-Conceptual Design Report:
arXiv: 2107.11462
- Developing a conceptual design with an refined technical design and background model, proceeding to CD-1
- R&D activities are ongoing



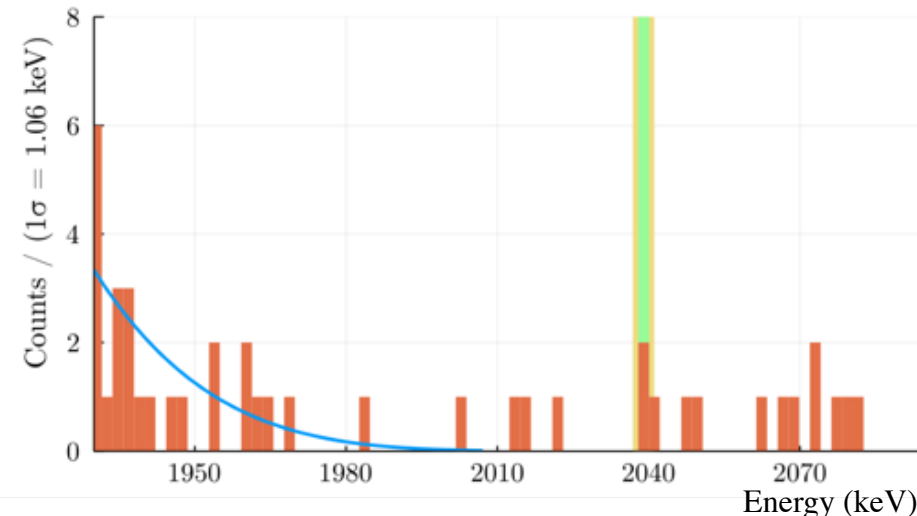
Currently underway:
4 string commissioning

Discovering $0\nu\beta\beta$ with ^{76}Ge

- ^{76}Ge is a clear leading choice for a ton-scale search: experiments are optimized for an unambiguous discovery of $0\nu\beta\beta$
- Current-generation experiments have led the field
 - New full-exposure results from the MAJORANA DEMONSTRATOR: $T_{1/2} > 8.3 \times 10^{25} \text{ yr}$
 - Full-exposure results from GERDA: $T_{1/2} > 1.8 \times 10^{26} \text{ yr}$
- The LEGEND program builds on these successes for a low-risk path to exploring half-lives beyond 10^{28} yrs
 - LEGEND-200 is in commissioning, with data-taking beginning later this year
 - LEGEND-1000 pre-conceptual design available, with R&D and conceptual design development ongoing



Simulated LEGEND-1000 example spectra for $T_{1/2} = 10^{28} \text{ yrs}$,
BI $< 10^{-5} \text{ cts/keV kg yr}$, after cuts, from 10 years of data



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 - U.S. Department of Energy, Office of Nuclear Physics (DOE-NP)
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- We thank our hosts and colleagues at LNGS and SURF
- We thank SNOLAB for their engineering support in LEGEND-1000 planning
- We thank the ORNL Leadership Computing Facility and the LBNL NERSC Center

MJD, GERDA, and LEGEND Posters at this Conference



- Background Modeling for the MAJORANA DEMONSTRATOR, E. Bialock, C. Haufe, and A. Reine
- The Analysis and New Results from the Full Dataset of the MAJORANA DEMONSTRATOR, I. Guinn, A. Hostiuc, T. Oli, and N. W. Ruof
- The analysis and performance of the Inverted-Coaxial Point-Contact detectors in the MAJORANA DEMONSTRATOR experiment, J.M.López-Castaño
- New limits on the sterile neutrino transition magnetic moment from the MAJORANA DEMONSTRATOR, C. Wiseman, I. Kim, and J. M. López-Castaño
- Topologies of ^{76}Ge $0\nu\beta\beta$ -decay events and precision of calibration procedures, T. Comellato, M. Agostini, S. Schönert
- New results on exotic double-beta decay modes of ^{76}Ge from GERDA Phase II, E. Bossio
- The LEGEND-200 LAr instrumentation: from design to commissioning, N. Burlac and E. Shevchik
- Integration & Commissioning of LEGEND-200, M. Willers
- Filling and purification of large volume of liquid argon for LEGEND-200, M. Harańczyk
- Photon emission time spectra in liquid argon, M. Schwarz
- Strategies for cosmogenic $^{77(m)}\text{Ge}$ reduction for LEGEND-1000 experiment, M. Morella and M. Neuberger
- Optical properties of liquid argon with sub-ppm level nitrogen doping, M. Harańczyk and M. Schwarz
- BSM physics searches with LEGEND-1000, I. Kim
- Preliminary Background Model for LEGEND-1000, R. Gala